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AEGIS

Advanced Big Data Value Chains for Public Safety and Personal Security

WP3 - System Requirements, User stories, Architecture and MicroServices



D3.4 – Architecture and Revised Components, Microservices and APIs Designs v3.00

Version 1.0

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AEGIS KEY FACTS

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GFT GFT Italia SRL

KTH Kungliga Tekniska högskolan

UBITECH UBITECH Limited

VIF Kompetenzzentrum - Das virtuelle Fahrzeug, Forschungsgesellschaft-

GmbH

NTUA National Technical University of Athens – NTUA

EPFL École polytechnique fédérale de Lausanne

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EXECUTIVE SUMMARY

The scope of D3.4 is to document the efforts undertaken within the context of the tasks 3.1, 3.2, 3.3, 3.4 and 3.5 of WP3. Towards this end, the main objective of this deliverable is to deliver the updated documentation that will supplement the information documented in the revised deliverable D3.3, focusing on the updates on the high-level architecture of the AEGIS platform, the modifications and refinements on the components of the platform, as well as the adjustments on the platform's workflows. The deliverable builds on top of the outcomes and knowledge extracted by D3.3, as well as the results of the comprehensive analysis of the feedback received from the project's demonstrators on the AEGIS platform Release 2.00 that was delivered in M18.

More specifically, the objectives of the deliverable D3.4 are as follows:

- Provide the necessary updates on the description of the high-level architecture of the AEGIS platform that was presented in the revised deliverable D3.3. Within this context, the document at hand describes the new architectural decisions that were taken and the new functionalities introduced in the platform with a comprehensive description of the components of the platform, highlighting their positioning within the architecture and the platform functionalities that each component undertakes.
- Provide the updated documentation for each component with regard to the design and the specifications.
- Present the updated functionalities of each component of the platform as a result of the incorporated changes in the platform's architecture and the list of technologies and tools utilised towards the implementation of the aforementioned functionalities.
- Present the updated list of microservices that support the realisation of the functionalities of each component of the platform.
- Provide the updated documentation of the technical interfaces and exposed outcomes offered by each component that enable the smooth integration of the components as well as the execution of the workflows of the platform.
- Present the updated BPMN diagrams that are illustrating how, from a user perspective, the AEGIS platform's workflows are enabled and the AEGIS platform's components interact on a high-level.

The outcomes of this deliverable will drive the implementation activities of the project towards the implementation of the AEGIS Platform Release 3.00. As the project evolves, additional requirements will be received and the offered functionalities of the platform will receive further enhancements. Furthermore, additional feedback will be received and evaluated, resulting also in further updates and refinements on the platform and the platform's components. The upcoming version of the architecture and the components' design will be the final version and will be documented in D3.5 entitled "Architecture and Revised Components, Microservices and APIs Designs – v4.00".

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ABBREVIATIONS

API Application programming interface

BPMN Business Process Model and Notation

CO Confidential, only for members of the Consortium (including the Commission Services)

CSS Cascading Style Sheets

CSV Comma Separated Value files

D Deliverable

DLT Distributed ledger technology

DoW Description of Work

DPF Data Policy Framework

FLOSS Free/Libre Open Source Software

HTML Hypertext Markup Language

H2020 Horizon 2020 Programme

JSON JavaScript Object Notation

JWT JSON Web Token

NLP Natural language processing

OSS Open Source Software

PSPS Public Safety and Personal Security

PU Public

PM Person Month

R Report

RDF Resource Description Framework

REST Representational State Transfer

RTD Research and Development

SQL Structured Query Language

SSL Secure Sockets Layer

T Task

TLS Transport Layer Security

UI User Interface

URL Uniform Resource Locator

WP Work Package

XML Extensible Markup Language

1. Introduction

1.1. Objective of the deliverable

The scope of D3.4 is to document the efforts within the context of the tasks 3.1, 3.2, 3.3, 3.4 and 3.5 of WP3. Towards this end, the main objective of the current deliverable is to document the updates and refinements introduced on the AEGIS platform and platform's components, as well as the updates on the APIs and exposed outcomes of the components, based on the evaluation and feedback received for the AEGIS platform Release 2.00 that was delivered in M18, as documented with deliverable D4.2.

The current deliverable aims at providing the updated documentation that supplements the information documented in the revised deliverable D3.3. In more detail, the main objective of the current deliverable is to document all the modifications and updates on the high-level architecture of the platform, provide the updated descriptions of the AEGIS components in terms of design and specifications, as well as present the updated AEGIS platform workflows. For coherency reasons, the current document builds on top of the information included in the revised deliverable D3.3, indicating the necessary updates at the end of each section.

The revised information is presented using the approach followed in the previous versions. As such, the updated high-level architecture is presented, focusing on the updates and changes introduced along with a description of the role of each component within the platform, as well as the functionalities undertaken by each component. Additionally, the description is also focusing on providing the necessary insights with regard to the positioning of the updated components within the architecture. In addition to the high-level architecture, the updated AEGIS platform's technical architecture is presented with the major focus being on the functional decomposition of the components, the relationship among them and the data flow.

Within the context of the current deliverable, for each component of the platform the updated detailed description is presented, containing the proper documentation of the functionalities of the component, as well as the interactions with the rest of the components. Moreover, for each component the list of designed microservices is presented, the technologies utilised within the context of the component are documented and the technical interfaces and exposed outcomes are presented.

In addition to the aforementioned, the current deliverable presents the latest workflows of the AEGIS platform in the form of BPMN diagrams, that contain all the necessary adjustments and modifications from the ones presented in the deliverable D3.3 based on the updates of the components.

1.2. Insights from other tasks and deliverables

The deliverable builds on top of the work reported in WP3 and WP4. In particular, the work performed in WP3, as reported in D3.1, D3.2 and D3.3, provided valuable information concerning the functional and technical requirements collected, the design of the platform's components, the high-level architecture of the platform as well as the platform's workflows. Another useful insight is the work performed within the context of WP4, as reported in D4.1 and D4.2, where the first two versions of the platform were delivered. The evaluation and feedback received from the project's demonstrators on both released versions serves as the basis

upon which the updates and refinements on the platform and the platform's components were built.

1.3. Structure

Deliverable D3.4 is organised in five main sections as indicated in the table of contents.

- The first section introduces the deliverable. It documents the objectives of the deliverable and the relation of the current deliverable with the other deliverables by describing how the outcomes of other deliverables and work-packages serves as input to the current deliverable. Finally, a brief description is provided on how the document is structured.
- The second section presents the updated high-level architecture of the AEGIS platform pointing out the updates from the previous version that was documented in D3.3, and focusing on the positioning of the updated components within the architecture. In addition to this, the updated technical architecture of the AEGIS platform is presented, in which the functional decomposition of the components is illustrated, along with their relationships and the respective data flow. Finally, in this section the decision to provide an integrated notebook containing three major components of the AEGIS platform is documented.
- The third section documents all the necessary updates in the documentation of the components of the AEGIS platform. Within the context of this section, for each component the updated functionalities and the list of designed microservices are presented. Additionally, the technologies utilised for the implementation of each component, as well as the technical interfaces and exposed outcomes are presented. Within each subsection, the updates from deliverable D3.3 are highlighted.
- The fourth section is presenting the BPMN diagrams that correspond to the provided functionalities of the AEGIS platform focusing on the user perspective and on summarising the component interactions in a high-level without the technical details.
- The fifth section concludes the deliverable. It outlines the main findings of the deliverable which will guide the future research and technological efforts of the consortium.

2. AEGIS ARCHITECTURE

2.1. High level architecture

In the revised deliverable D3.3, the high-level architecture of the AEGIS platform was presented. This high-level architecture remained unaffected in terms of design, functionalities and interactions from the architecture presented in deliverable D3.2, as there were no additional requirements identified, requiring the introduction of any additional updates or refinements. This architecture had driven the implementation and the release of the AEGIS Platform Release 2.00 of the platform.

As the project evolved, additional functionalities were designed and introduced in the platform. Moreover, as a result of the comprehensive analysis of the feedback received by the end-users of the platform for the second release of the platform, a series of adjustments and refinements were introduced in the components of the platform in order to better address the identified user requirements, but also to facilitate the implementation of the functionalities of the platform.

As already described in the previous deliverables of WP3, the AEGIS high-level architecture is a modular architecture composed of multiple key components, where each component was designed with a clear business context, scope and set of functionalities. Figure 2-1 illustrates the updated high-level architecture, which incorporates the adjustments and refinements introduced.

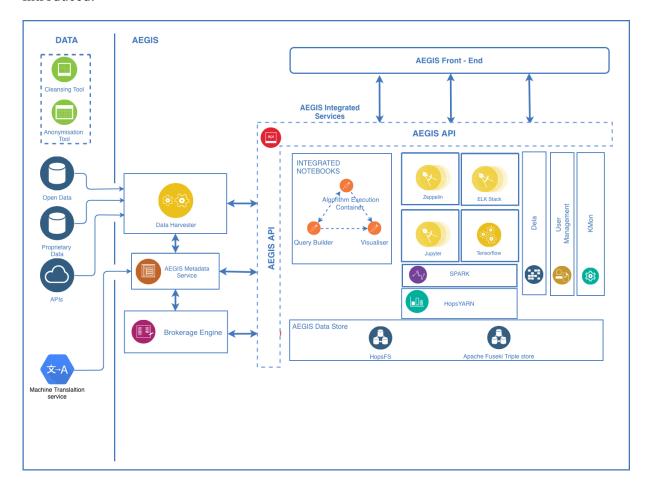


Figure 2-1: AEGIS high-level architecture

The two major updates in the high-level architecture of the platform is the support of Tensorflow¹ as part of the AEGIS Integrated Services and the introduction of the multilingualism support in the platform. Tensorflow is an open source software library providing an ecosystem suitable for high performance numerical computation following a flexible architecture that enables easy deployment of computation across a variety of platforms (CPUs, GPUs and TPUs). Tensorflow is enabling the implementation of machine learning and deep learning applications. Multilingualism is enabling the data discovery and data integration of datasets originating from different domains and countries. Multilingualism support is facilitated by a set of technologies and tools that are utilised by the AEGIS platform's components, such as external machine translation services. In general, multilingualism will be supported in three different aspects of the platform, namely the static content, the metadata and the data.

Residing at the location of the data, two optional components are offered by the AEGIS ecosystem, namely the Anonymisation tool and the Cleansing tool. The Anonymisation tool is an offline tool ensuring that sensitive or personal data are not uploaded in the platform and will address the privacy and anonymity requirements by applying a set of anonymisation techniques on the initial dataset. The Cleansing tool provides the necessary cleansing processes with a variety of techniques that will be offered in both offline and online mode (through custom processes incorporated inside the integrated notebooks of the platform) depending on the context of the processes and required corrective actions.

The AEGIS Data Harvester is providing the data entry point to the AEGIS platform offering the transformation, harmonisation and annotation functionalities required within the context of the platform as well as the rich metadata generation for the imported data. In the core of the AEGIS platform lays the AEGIS Data Store component, composed by the HopsFS and the AEGIS Metadata Service (formerly AEGIS Linked Data Store). HopsFS is a fast, reliable and scalable distributed file system that undertakes the responsibility for storing the imported datasets, while the AEGIS Metadata Service is responsible for storing the metadata generated using the AEGIS ontology and vocabulary for each dataset, as provided by the AEGIS Harvester.

AEGIS Integrated Services consists of a list of services responsible for the data management and processing within the platform. In addition to the multi-tenant data management, data exploration, data parallel processing and resource management, these services implement as well the user management and service monitoring aspects of the AEGIS platform. The list of services in AEGIS Integrated Services includes the Apache Zeppelin and Jupyter services offering interactive notebooks, the ElasticSearch Logstash Kibana (ELK) stack, the newly introduced Tensorflow, the Apache Spark and the HopsYARN, as well as the Dela, the User Management and the KMon services.

In addition to the AEGIS Integrated Services, the AEGIS platform incorporates three more components in the form of integrated notebooks using Apache Zeppelin and Jupyter, namely the Query Builder, the Algorithm Execution Container and the Visualiser that are supplementing the delivered functionalities of the AEGIS platform. More specifically, Query

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¹ https://www.tensorflow.org/

Builder is simplifying and empowering the querying capabilities of the platform by providing an intuitive graphical interface for powerful data pre-processing capabilities, data retrieval and view creation on the data in order to generate a new dataset or provide an input to Algorithm Execution Container and Visualiser. The Algorithm Execution Container is enabling the execution of the data analysis algorithms over multiple selected datasets in order to provide the data analysis results in the Visualiser. Visualiser is the component facilitating the visualisation functionalities of the platform for either the querying and filtering results as produced by the Query Builder or the analysis results as produced by the Algorithm Execution Container.

The Brokerage Engine is responsible for access control and recording of actions performed over the artefacts of the platform such as datasets, services and algorithms. More specifically, the Brokerage Engine is ensuring conformance with the Data Policy Framework of AEGIS while also utilising a distributed ledger supported by a blockchain implementation in order to record all transactions over these artefacts. Finally, the AEGIS Front-End is the component implementing the presentation layer of the platform using an innovative user-friendly interface to enable the easy navigation and exploitation of the platform services to the AEGIS stakeholders.

For each component, a detailed description documenting the functionalities and the technical details is elaborated in Section 3 of the current deliverable.

2.2. Technical Architecture

In addition to the updated high-level architecture presented in section 2.1, Figure 2-2 illustrates the functional decomposition of the components of the AEGIS platform, as well as the relationship of the components and the corresponding data flow during run-time. The details for the design and specification of each component are described in Section 3.

2.3. AEGIS Integrated Notebooks

In the course of the development of the Query Builder, the Algorithm Execution Container and the Visualiser the technical partners decided to leverage the capabilities and features provided by the Apache Zeppelin and Jupyter services that are already integrated within the AEGIS platform. Both services are providing functionalities for data ingestion, data discovery, data analytics and data visualisation to the data scientists, support for various languages such as Python and Scala, integration with data processing frameworks like Spark and support for user interface implementation in JavaScript.

Although the aforementioned components were developed as separate predefined notebooks containing several paragraphs, the integration of them into one complete notebook is foreseen towards the aim of offering a holistic toolset for data query and retrieval, data pre-processing, data analysis execution and advanced visualisations. Within this holistic notebook, all the described functionalities and features of the aforementioned three components will be integrated to enable the end users of the platform to perform all the desired tasks from this complete notebook, providing intuitive and advanced user experience. The integration of the aforementioned is an ongoing activity that will last until M24 when the AEGIS platform V3.00 will be released.

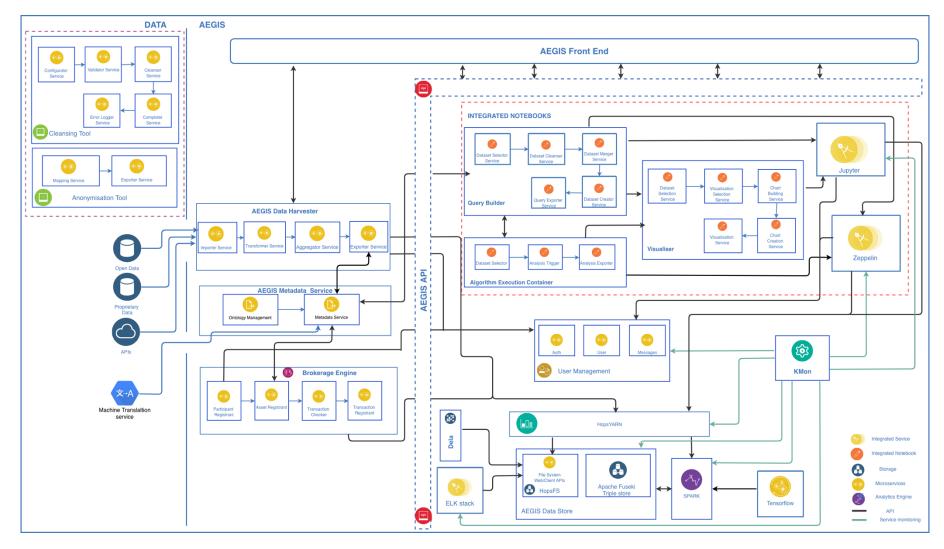


Figure 2-2: AEGIS Technical Architecture

3. AEGIS COMPONENTS AND APIS SPECIFICATIONS

3.1. Data Harvester

The Data Harvester (previously designated as Data Harvester and Annotator) is an additional component, which has two main purposes. Firstly, it acts as an administrative tool for the operators of the AEGIS platform for providing a rich selection of already available datasets. Secondly, it can be used by the users of the AEGIS platform for easily retrieving data from well-known sources and interfaces. It manages both the retrieval of the actual datasets and the creation of the corresponding metadata.

3.1.1. Overview

The Data Harvester is orchestrated out of several sub-components, including microservices and front-end modules. In connection they represent the process of harvesting, transforming, harmonising, annotating and providing the required data and metadata for the AEGIS platform. Therefore, they will be described as one component and from here on simply denominated as *Harvester*. The Harvester interacts tightly with the AEGIS Metadata Service (formerly AEGIS Linked Data Store) and the AEGIS Data Store and is based on several basic concepts. In the following paragraphs these concepts are described in detail:

Repository

A repository represents a specific data source and handles the respective connection to it. Each repository represents descriptive and required data about the data source, where the address (in most cases a URL) is the most significant one.

Annotation

An annotation constitutes metadata of a project, dataset or file within the AEGIS platform. Hence it uses the AEGIS vocabularies and ontologies (see deliverable D2.1 Ch.3).

Transformation

A transformation describes all processing rules for converting the source data to the suitable target format. This may include mapping of fields, harmonisation and any converting.

Harvester

A harvester describes a concrete instance of retrieving data from a data source. It holds metadata about the harvesting process itself, like the execution schedule. One harvester is linked to a repository, the corresponding annotation and responsible transformation.

Run

A run depicts the single execution of a harvester, where the data and the metadata are generated and harvested respectively. It stores metadata about the performance and success of a harvesting process, which includes detailed logging information. A run can be scheduled and executed periodically.

These concepts are represented in the four microservices and the front-end of the Harvester. It is important to notice, that each microservice may have multiple instances or rather specialised implementations. E.g. they may be one importer for CSV data and one importer for JSON data.

Importer

An importer implements all functionality for retrieving data from a specific data source. It needs to specifically support the characteristic of that data source, including protocol, serialisation format, security etc. It has to export the harvested data as JSON to the next stage.

Transformer

A transformer converts the retrieved data from an importer into the target format of the AEGIS platform. Hence, a tabular format is specified for each data source.

Aggregator

An aggregator collects converted data from a transformer over a configurable time interval. It allows to adjust the granularity of the available data in one file within the AEGIS platform.

Exporter

The exporter uploads transformed and/or aggregated data to the AEGIS platform. In addition, it creates the corresponding metadata in the AEGIS Metadata Store. There will be only one implementation for the exporter.

Front-end

The front-end orchestrates the microservices and offers the visual interface for creating, editing and existing specific harvesting processes.

Figure 3-1 shows the process of harvesting data from a data source to the AEGIS platform.

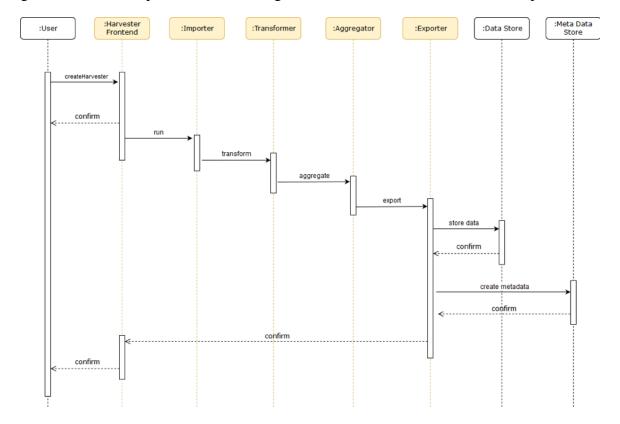


Figure 3-1: Sequence diagram of the Harvester component

3.1.2. Supported Data Sources

The Data Harvester importer supports both concrete well-known data sources and generic standardised interfaces. The following data providers will be facilitated:

| Data Provider | Description | Interfaces |
|---|---|---|
| OpenWeatherMap (https://openweathermap.org/) | Continuous harvesting of European Weather Data | RESTful JSON |
| European Data Portal - Single Dataset (https://www.europeandatapo rtal.eu/data) | Harvest the resources and metadata of a single dataset | JSON Action API for metadata, Basic HTTP for files |
| European Data Portal – Multiple Datasets (https://www.europeandatapo rtal.eu/data) | Harvest resources and metadata from multiple datasets by providing search parameters. | JSON Action API for metadata, Basic HTTP for files |
| AEGIS Event Detector | Push collected event data to the AEGIS platform | RESTful JSON (Push Method) |
| EM-DAT The International Disaster Database (https://www.emdat.be/) | Collect disaster data | HTML Crawling Basic HTTP for files |

An extension with more data sources is planned for the upcoming version. Suitable sources will be determined throughout the course of the project.

Updates from V2.0:

• The fundamental concept and architecture of the Data Harvester did not change.

3.1.3. List of microservices

For the Harvester component four microservices are developed. Each service depicts one distinct task within the harvesting process. The orchestration of the services is done via a single-page-application front-end, which will be tightly integrated into the AEGIS platform. All services expose their functionality via a RESTful-API.

| Component Name | Microservice Name | Functionalities |
|-------------------------|----------------------------|--|
| AEGIS Data Harvester | HarvesterImportService | Handling of repositories, e.g. creation and modification Management of specific repository connectors Execution of the importing process Logging of importing process Transfer of the imported data to the transformer service |
| | HarvesterTransfomerService | Management of transformations rules and scripts Execution of the transformation from source data to the AEGIS data format Logging of transformation process Transfer of the transformed data to the aggregator or exporter service |
| | HarvesterAggregatorService | Optional service for aggregating imported data for a specified time interval before exporting it to the AEGIS platform Transfer of aggregated data to the exporter service |
| | HarvesterExporterService | Handling of the export of the data to the AEGIS platform Direct communication with the RESTful-API of AEGIS Creation of the metadata in the Metadata-Service based on the given annotations |

Table 3-1: Harvester list of microservices

3.1.4. Technologies to be used

The foundation of the AEGIS Harvester is the EDP Metadata Transformer Service (EMTS)², an open source solution for harvesting metadata from diverse Open Data sources.

For the purpose of the AEGIS platform, the EMTS is refined and updated to fit the needs of the project. This includes restructuring the application into small and scalable microservices. This is done by extracting the respective functionalities into new standalone services. These services are developed with the event-driven Java-framework Eclipse Vert.x³. It allows a much tighter integration into the AEGIS platform and the straightforward extension with additional functionalities. Correspondently, the web front-end is modified to single-page-application in order to act as an orchestrator of the various microservices. It is implemented based on the JavaScript Vue.js⁴ framework that is allowing a better integration into the existing front-end of AEGIS platform.

Figure 3-2 shows the prototype of the front-end of the Harvester.

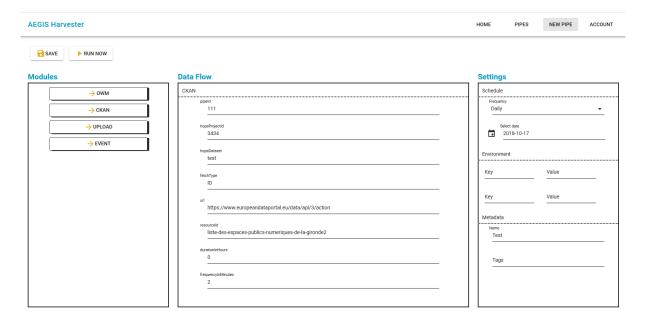


Figure 3-2: The harvester interface

The orchestration architecture used for the AEGIS Harvester follows a "pipeline" pattern, in which data is passed through several services, with each service manipulating the data in some sort. Each service is responsible for exactly one task. This permits a rather generic implementation of each service. The aim is to encourage a separation of concerns in order to

4 https://vuejs.org/

² The original source code can be found here: (https://gitlab.com/european-data-portal/MetadataTransformerService)

³ https://vertx.io/

enhance reusability, as well as allowing the dynamic scaling in times of high load. The latter is achieved by deploying additional instances of the services demanded most. Once new instances are spawned, request may dynamically be routed to the instance of a service with the least load. This is shown in Figure 3-3.

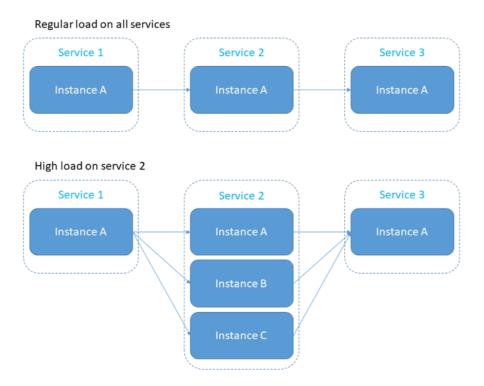


Figure 3-3: Harvester Orchestration Concept

The order and type of services participating in handling a certain use case is initially defined for later utilisation by the pipe implementation. The framework then builds the suitable requests (as well as the handling the concrete routing between instances) and provides the applicable configurations. This makes each service agnostic of its surroundings, aiding in the generic design mentioned earlier.

Updates from V2.0:

• The Data Harvester frontend changed from a mock-up to functional prototype.

3.1.5. APIs and exposed outcomes

The following tables document the API of the Data Harvester component.

| Technical Interface | |
|----------------------------|---|
| Reference Code | AH#01 |
| Function | Orchestrate, schedule and manage harvesting processed |

WP3 – System Requirements, User stories, Architecture and Microservices Consortium

| Subsystems | EDP Metadata Transformer Service | |
|---|----------------------------------|--|
| Type, State | | |
| RESTful API, Web Front-en | nd | |
| Endpoint URI | | |
| http://aegis-harvester.fokus.fraunhofer.de (Testing system) | | |
| Input Data | | |
| Harvester endpoints | | |
| Output Data | | |
| Status logs | | |

Table 3-2: Data Harvester technical interface

3.2. Cleansing Tool

3.2.1. Overview

Data cleansing is an umbrella term for tasks that span from simple data pre-processing, like restructuring, predefined value substitutions and reformatting of fields (e.g. dates) to more advanced processes, such as outliers' detection and elimination from a dataset. Particularly in the AEGIS context of big data processing and analysis, cleansing may, by itself, be a process requiring big data technologies to be applied.

The initial AEGIS decision on data cleansing was to not develop data cleansing tools from scratch and instead support the following two-fold approach: (a) simple data cleansing transformations will be applied through existing mature tools offline and (b) more complex data cleansing (e.g. outlier detection and removal) will be offered through custom cleansing processes developed within the available AEGIS tools for big data processing and algorithm execution. Based on the technical advancements of the project, the second option is now offered with the help of the notebooks and notebook-based components, which leverage the AEGIS processing and analytical capabilities.

However, the consortium has decided to extend the initial approach in the following two ways:

It has become obvious that cleansing tasks that are both easy/straightforward and at the same time computationally intense, may emerge as steps of the analysis to be performed, i.e. they may be dependent on the specific application and not on intrinsic characteristics of the original dataset. These tasks will need to be performed as part of the online data manipulation. Hence, in order to provide a more intuitive user experience and also leverage the computational power of the AEGIS system, it was decided to make certain simple data cleansing functionalities available to the user during the data query creation process, i.e. when he/she should be more confident about the desired data manipulation needed to perform in order to use the data for

further analysis. Hence, some simple custom cleansing processes are incorporated inside Query Builder as part of the data selection process and will be described in the corresponding section.

Additionally, the consortium identified the added value of providing an offline cleansing tool that will offer a level of customisation to the users and will be easily adaptable to the user's needs depending on the nature of the data source. Thus, it was decided to implement the offline cleansing tool that will enable data validation, data cleansing and data completion processes towards the aim of increasing the reliability, accuracy and completeness of the data that will be imported in the AEGIS platform. The tool will be customisable, in terms of rules definitions for validation, cleansing and missing data handling, by the user and will provide web-based user interface to display the cleansing process results.

The main functionalities of the offline cleansing tool are as follows:

- Definition of the rules for cleansing process (data validation, data cleansing, data completion).
- Provide a RESTful interface to facilitate the uploading of the dataset that will be used in the cleansing process and provide the cleaned data.
- Report the cleansing process results through a user interface.

The following figure shows the sequence diagram for the offline data cleansing. The sequence diagrams for data cleansing performed through other tools will be provided in the corresponding sections.

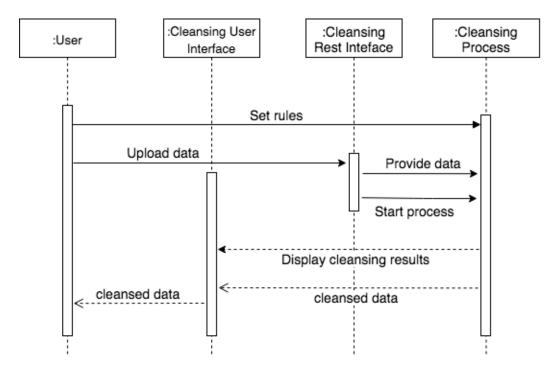


Figure 3-4: Offline data cleansing sequence diagram

Updates from V2.0:

• Additional rules for data validation, data cleansing, data completion.

• Performance improvement for large datasets.

3.2.2. List of microservices

For the offline data cleansing a list of microservices will be developed and will be orchestrated towards the execution of the cleansing tasks and the successful handling of the incoming requests for data cleaning transformations and corrective actions. In particular, the Cleansing Process, as shown in Figure 3-4, is composed by four microservices. The first microservice, the ConfiguratorService is undertaking the management of the constraints/rules for validation and data completion, as well as the corrective actions/rules. Additionally, three microservices, the ValidatorService, the CleanserService and the CompleterService, are responsible for the data validation, the data cleansing and the data completion respectively. The ErrorLoggerService is the microservice responsible for the collection and management of the log records that contain the identified errors and the corrective actions from the execution of the microservices of the Cleansing Process. Moreover, the ErrorLoggerService is providing the input for the Cleansing User Interface that reports the execution results to the user.

In total five microservices will be developed and are described in the following table:

| Component Name | Microservice Name | Functionalities |
|-----------------------------|---------------------|--|
| Cleansing Tool (Offline) | ConfiguratorService | Maintain and manage the constraints/rules for validation (e.g. specific data types, value representation, uniformity, range, regular expression patterns, crossfield validity) Maintain and manage the corrective actions/rules (e.g. rejection of values, logical error identification) Maintain and manage the data completion rules |
| | ValidatorService | Perform data validation in accordance to the constrains/rules Compile the list of identified errors identified in the validation Log the errors in the appropriate log file Provide interface for remote execution |
| | CleanserService | Perform data cleaning based on the defined rules Log the corrective actions in the appropriate log file |

| | Provide interface for remote execution |
|--------------------|--|
| CompleterService | Implement a list of methods / algorithms for data completion (e.x. Last Observation Carried Forward, Last Non-Zero, moving average, Linear regression, mean, median, k-NN). Perform data completion based on the defined rules, algorithms and methods Log the corrective actions in the appropriate log Provide interface for remote execution |
| ErrorLoggerService | Create and display log records containing the errors and corrective actions Manage log files generated by the rest of the microservices Provide an interface for the rest of the microservices for log record creation |

Table 3-3: Cleansing Tool list of microservices

For the cleansing functionalities that are offered through the notebooks and notebook-based components, the relevant microservices are described in the corresponding sections.

3.2.3. Technologies to be used

For the online data cleansing, either through the dedicated data cleansing UI in the Query Builder or through custom processes -implemented with the help of the Apache Zeppelin and Jupyter Notebooks (which are part of the AEGIS Integrated Services) will be used. More details are provided in the corresponding tools' sections.

For the offline cleansing processes, which will be applied before importing data in AEGIS with the aim of making the data more easily processable by subsequent components in the data flow, the microservices architecture is followed and the corresponding microservices, as described in section 0, are written in Python, using Flask microframework⁵ and a set of libraries such as Pandas⁶ and NumPy⁷.

⁶ https://pandas.pydata.org/

⁵ http://flask.pocoo.org/

⁷ http://www.numpy.org/

| Updates from V2.0: | |
|--------------------|--|
| No updates | |

3.2.4. APIs and exposed outcomes

For the offline data cleansing a REST API interface is provided in order to enable the uploading of the dataset that will be cleansed and provide the cleaned dataset once the cleansing process is completed. The details of this interface are documented in Table 3-4.

Since the online data cleansing is performed with the help of other components, more information is available in the corresponding sections.

| Technical Interface | | |
|--|---|--|
| Reference Code | CT#01 | |
| Function | Upload the dataset that will be used in the cleansing process | |
| Subsystems | Offline Cleansing Tool | |
| Type, State | | |
| RESTful-API | | |
| Endpoint URI | | |
| <pre><server url:5000="">/cleaner/api/clean</server></pre> | | |
| Input Data | | |
| The data that will be used in the cleansing process in JSON format | | |
| Output Data | | |
| The cleansed data in JSON format | | |

Table 3-4: Offline Cleansing tool technical interface

| Technical Interface | |
|---------------------|-----------------------|
| Reference Code | CT#02 |
| Function | Add new data provider |

| Subsystems | Offline Cleansing Tool | |
|--|------------------------|--|
| Type, State | | |
| RESTful-API | | |
| Endpoint URI | | |
| <pre><server url:5000="">/datasets/provider</server></pre> | | |
| Input Data | | |
| The data provider name | | |
| Output Data | | |
| - | | |
| | | |

Table 3-5 Offline Cleansing tool add new provider

| Technical Interface | | |
|---|-------------------------------|--|
| Reference Code | CT#03 | |
| Function | Update existing data provider | |
| Subsystems | Offline Cleansing Tool | |
| Type, State | | |
| RESTful-API | | |
| Endpoint URI | | |
| <server url:5000="">/datasets/provider</server> | | |
| Input Data | | |
| The old data provider name and the new data provider name | | |
| Output Data | | |
| - | | |
| | | |

Table 3-6: Offline Cleansing tool update provider

| Technical Interface | | | |
|--|------------------------|--|--|
| Reference Code | CT#04 | | |
| Function | Delete data provider | | |
| Subsystems | Offline Cleansing Tool | | |
| Type, State | | | |
| RESTful-API | | | |
| Endpoint URI | Endpoint URI | | |
| <pre><server url:5000="">/datasets/provider</server></pre> | | | |
| Input Data | | | |
| The deleted data provider name | | | |
| Output Data | | | |
| - | | | |
| | | | |

Table 3-7: Offline Cleansing tool delete provider

| Technical Interface | | |
|--|------------------------|--|
| Reference Code | CT#05 | |
| Function | Add new dataset | |
| Subsystems | Offline Cleansing Tool | |
| Type, State | Type, State | |
| RESTful-API | | |
| Endpoint URI | | |
| <server url:5000="">/datasets/dataset</server> | | |
| Input Data | | |
| The data provider name and the dataset name | | |
| | | |

| Output Data | |
|-------------|--|
| - | |

Table 3-8: Offline Cleansing tool new dataset

| Technical Interface | |
|---|--|
| Reference Code | CT#06 |
| Function | Update an existing dataset |
| Subsystems | Offline Cleansing Tool |
| Type, State | I |
| RESTful-API | |
| Endpoint URI | |
| <pre><server url:5000="">/datasets/dataset</server></pre> | |
| Input Data | |
| The data provider name | e, the old dataset name and the new dataset name |
| Output Data | |
| - | |
| | |

Table 3-9: Offline Cleansing tool update an existing dataset

| Technical Interface | |
|---------------------|------------------------|
| Reference Code | CT#06 |
| Function | Delete a dataset |
| Subsystems | Offline Cleansing Tool |
| Type, State | |
| RESTful-API | |

| Endpoint URI |
|---|
| <pre><server url:5000="">/datasets/dataset</server></pre> |
| Input Data |
| The data provider name and the dataset name |
| Output Data |
| - |

Table 3-10: Offline Cleansing tool delete dataset

| Technical Interface | | | |
|--|------------------------|--|--|
| Reference Code | CT#07 | | |
| Function | Add new variable | | |
| Subsystems | Offline Cleansing Tool | | |
| Type, State | | | |
| RESTful-API | RESTful-API | | |
| Endpoint URI | | | |
| <server url:5000="">/datasets/variable</server> | | | |
| Input Data | | | |
| The data provider name, the dataset name and the variable name | | | |
| Output Data | | | |
| - | | | |
| | | | |

Table 3-11: Offline Cleansing tool add new variable

| Technical Interface | |
|---------------------|-------|
| Reference Code | CT#08 |

| Function | Update an existing variable |
|--|--|
| Subsystems | Offline Cleansing Tool |
| Type, State | |
| RESTful-API | |
| Endpoint URI | |
| <pre><server url:5000="">/dat</server></pre> | casets/variable |
| Input Data | |
| The data provider nan name | ne, the dataset name, the old variable name and the new variable |
| Output Data | |
| - | |
| | |

Table 3-12: Offline Cleansing tool update an existing variable

| Technical Interface | |
|--|------------------------|
| Reference Code | CT#09 |
| Function | Delete variable |
| Subsystems | Offline Cleansing Tool |
| Type, State | ' |
| RESTful-API | |
| Endpoint URI | |
| <pre><server url:5000="">/datasets/variable</server></pre> | |
| Input Data | |
| The data provider name, the dataset name and the variable name | |
| Output Data | |

-

Table 3-13: Offline Cleansing tool delete variable

| Technical Interface | |
|---|---------------------------------|
| Reference Code | CT#10 |
| Function | Add or update a validation rule |
| Subsystems | Offline Cleansing Tool |
| Type, State | |
| RESTful-API | |
| Endpoint URI | |
| <server url:5000="">/rules/validation</server> | |
| Input Data | |
| The data provider name, the dataset name, the variable name and the validation rule | |
| Output Data | |
| - | |
| | |

Table 3-14: Offline Cleansing tool add validation rule

| Technical Interface | |
|---------------------|--------------------------|
| Reference Code | CT#11 |
| Function | Delete a validation rule |
| Subsystems | Offline Cleansing Tool |
| Type, State | |
| RESTful-API | |
| Endpoint URI | |

| <pre><server url:5000="">/rules/validation</server></pre> |
|---|
| Input Data |
| The data provider name, the dataset name, the variable name and the validation rule |
| Output Data |
| - |

Table 3-15: Offline Cleansing tool delete validation rule

| Technical Interface | |
|---|-------------------------------|
| Reference Code | CT#12 |
| Function | Add or update a cleaning rule |
| Subsystems | Offline Cleansing Tool |
| Type, State | |
| RESTful-API | |
| Endpoint URI | |
| <pre><server url:5000="">/rules/cleaning</server></pre> | |
| Input Data | |
| The data provider name, the dataset name, the variable name and the cleaning rule | |
| Output Data | |
| - | |
| | |

Table 3-16: Offline Cleansing tool add cleaning rule

| Technical Interface | |
|---------------------|------------------------|
| Reference Code | CT#13 |
| Function | Delete a cleaning rule |

| Subsystems | Offline Cleansing Tool |
|---|------------------------|
| Type, State | |
| RESTful-API | |
| Endpoint URI | |
| <server url:5000="">/rules/cleaning</server> | |
| Input Data | |
| The data provider name, the dataset name, the variable name and the cleaning rule | |
| Output Data | |
| - | |

Table 3-17: Offline Cleansing tool delete cleaning rule

| Technical Interface | |
|--|---------------------------|
| Reference Code | CT#14 |
| Function | Update missing value rule |
| Subsystems | Offline Cleansing Tool |
| Type, State | |
| RESTful-API | |
| Endpoint URI | |
| <server url:5000="">/rules/missing</server> | |
| Input Data | |
| The data provider name, the dataset name, the variable name and the missing value rule | |
| Output Data | |
| - | |
| | |

Table 3-18: Offline Cleansing tool update missing value rule

3.3. Anonymisation Tool

3.3.1. Overview

The anonymisation tool is an extensible, schema-agnostic plugin that allows real-time efficient data anonymisation. The anonymisation tool has been utilised for offline, private usage but offers the ability to output the anonymised data through a secured, web API. With emphasis on performance, the anonymisation tool syncs with private database servers and executes anonymisation functions on datasets of various sizes with little or no overhead. The purpose of the anonymisation is to enable the potential value of raw data in the system by accounting for privacy concerns and legal limitations.

The anonymisation process is optional to the AEGIS data flows and the tool is external to the core AEGIS platform, residing where the data to be anonymised are located. This decision ensures that no potentially sensitive data leave company premises, i.e. by-design eliminates any vulnerability risks entailed in uploading the initial eponymised, thus sensitive, data to the platform. Therefore, the AEGIS anonymisation solution will be used offline.

The main functionalities of the anonymisation tool are as follows:

- Connection to various data sources, including PostgreSQL, MySQL and csv files.
- Provision of anonymisation alternatives (generalisation, k-anonymity, pseudonimity), depending on the data schemas, the data values and the user's intended usage of the anonymised dataset.
- Export of anonymised data in files and as RESTful services, if desired.

Overall, the tool will help the user generate an anonymised dataset as an output, making sure that the individual sensitive records or subjects of the data cannot be re-identified.

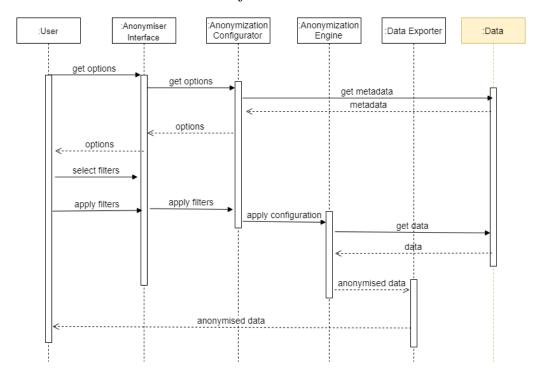


Figure 3-5: Data anonymisation sequence diagram

Updates from V2.0:

• No updates

3.3.2. List of microservices

The anonymisation tool, i.e. the AEGIS Anonymiser, comprises two microservices which are orchestrated towards the execution of the anonymisation workflow. The first microservice (Mapping Service) includes the functionalities provided by the Anonymisation Configurator and Anonymisation Engine shown in Figure 3-5. In the same figure, the Data Exporter corresponds to the second microservice, i.e. the Exporter Service. The Anonymiser Interface orchestrates the two microservices towards applying the anonymisation process and constitutes the interaction point with the user where required.

| Component Name | Microservice Name | Functionalities |
|-----------------------|-------------------|---|
| Anonymiser | Mapping Service | Connect to a database as data source Read a csv file as data source Provide anonymisation alternatives (e.g. generalisation, pseudonimity) per field Apply the selected anonymisation action |
| | Exporter Service | Provide the anonymised dataset through a REST API Save the anonymised dataset as csv file |

Table 3-19: Anonymisation Tool list of microservices

3.3.3. Technologies to be used

The anonymisation tool is based on the Anonymiser, an anonymisation and persona-building tool, developed in the context of the European project CloudTeams.

The tool performs a type of generalisation, which can be used to achieve k-anonymity. It allows users to customise the level of anonymisation per data field, i.e. sensitive data fields can be completely stripped out or suppressed from the output with asterisks or can be generalised. With the generalisation mapping, individual values of input data fields are replaced by a broader category. For example, the value '15' of the attribute 'Age' may be replaced by ' \leq 18', the value '23' by '20 < Age \leq 30'. The user may then apply a threshold (k) on the minimum number of entries with the same value, thus ensuring k-anonymity. A pseudonimity functionality is also available to hide personal information and all data fields can be masked with ranged data.

The original tool is written in Python, using the Django web framework. These technologies will be also used to deliver the necessary updates and extensions in order to support the AEGIS

anonymisation requirements. Specifically, the tool is extended to support csv files as data sources.

As the anonymisation tool is not integrated with the other AEGIS components but only offers limited interaction points, there is a flexibility in diversifying the provided anonymisation solution. Hence, in the course of the project, the tool is extended and adapted to the project's requirements, but other open-source solutions may be also considered and evaluated, e.g. ARX, as complementary/supplementary tools.

Updates from V2.0:

• No updates

3.3.4. APIs and exposed outcomes

The outcome of the Anonymisation tool is available through a REST API, documented in the following table.

| Technical Interface | | |
|--|------------------------------|--|
| Reference Code | AZ#01 | |
| Function | Retrieve the anonymised data | |
| Subsystems | None / Standalone API | |
| Type, State | I | |
| RESTful-API | | |
| Endpoint URI | | |
| <pre><server url="">: anonymizer/api/<secret key="">/<parameters></parameters></secret></server></pre> | | |
| Input Data | | |
| Secret key: | | |
| The secret access key generated for the user through the Anonymiser's user interface | | |
| Parameters: | | |
| Parameters for the data to be returned, including limit , offset , filters on properties and count | | |
| Output Data | | |

The anonymised data in JSON format

Table 3-20: Anonymisation tool technical interface

3.4. Brokerage Engine

3.4.1. Overview

The AEGIS Brokerage Engine is responsible for instantiating parts of the Data Policy and the Business Brokerage frameworks, that have been finalised in D2.3 and constitute the models of the above-mentioned frameworks that provide the different attributes that are either necessary or desirable to enable the option of running transactions on top of either an AEGIS cluster, or a network of AEGIS clusters.

The final brokerage engine will only be used for transactions of assets (datasets) that are not public over the AGEIS framework, but are provided on the AEGIS infrastructure for a fee, depending on each owners' wills. As such, the overall blockchain will only include transactions that refer to "for a fee" datasets, leaving out operations that are performed over public, and free datasets.

In this context, the engine listens to activities that are to be performed on the AEGIS Cluster and the Data Store to prepare the Distributed Ledger Network records. These refer to adding new users on the cluster, which are also added to the ledger using the "Participant Registrant" microservice and to registering Assets on the Data Store, which are added to the ledger using the "Asset Registrant" microservice.

Upon a transaction request, and in case this does not refer to a public/free dataset, the "Transaction Checker" microservice checks each artefact's metadata to conclude if a certain operation is possible. The first checks take place on the AEGIS Data Store, checking metadata stored there, increasing in this way the response rate as no extra API calls to the core Brokerage Engine are needed. In case a transaction is deems as permittable, then the Brokerage Engine is engaged, where it checks against its ledger to see whether a condition applies that does not permit the operation for the data artefact under observation (for example not enough AEGIS "coins", or having a dataset blocked by allowing its explicit use by a user for a certain period that is not over, etc.).

Once, a successfully concluded transaction is marked on the ledger using the "Transaction Registrant" microservice.

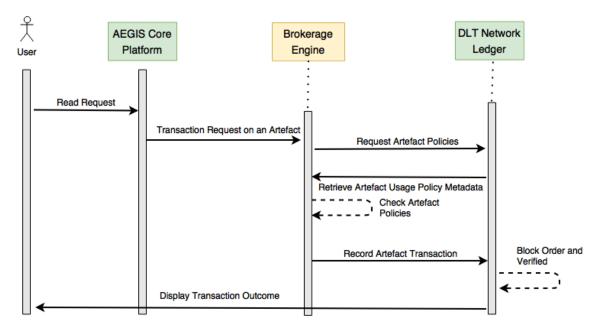


Figure 3-6: Brokerage Engine sequence diagram

Updates from V2.0:

Decision to support only assets that are able to be "purchased"

3.4.2. List of microservices

The microservices of the Brokerage Engine are tasked with the storage, checking and updating of data in the AEGIS Distributed Ledger network.

| Component Name | Microservice Name | Functionalities |
|-----------------------|------------------------|---|
| Brokerage Engine | Participant Registrant | Listens to the registration facility of AEGIS |
| | | Registers the AEGIS users as participants of the Brokerage Engine of the AEGISDL network |
| | Asset Registrant | Communicates with the Harvester and listens to datasets storing |
| | | Communicates with the Visualiser and listens to visualisations storing |
| | | Communicates with the Algorithm Execution Container and listens to analyses storing |
| | | Registers assets in the AEGIS Distributed Ledger network |
| | Transaction Checker | Checks transaction details against details stored on the AEGIS Distributed Ledger |

| Tra | ansaction Registrant | Registers transactions in the Distributed Ledger |
|-----|----------------------|---|
| | | Updates the "wallets" of the transaction participants |
| | | Exposes executed transactions through a REST API |

Table 3-21: Brokerage engine list of microservices

3.4.3. Technologies to be used

The prototype of the AEGIS Brokerage engine builds on top of the of Hyperledger Fabric⁸ framework and provides an API that is consumed by the AEGIS platform for providing the interconnection between the core platform and the Brokerage Engine. The models of the Blockchain engine have been constructed based on the AEGIS DPF presented in deliverable D2.1, while Hyperledger Composer⁹ is being used for testing and further optimising the overall engine, and for providing an interface to easily manage the overall network that has been deployed.

Updates from V2.0:No updates

3.4.4. APIs and exposed outcomes

The following tables present the most crucial interfaces used by the Brokerage Engine which are necessary for the interconnection with the AEGIS core platform.

| Technical Interface | | |
|----------------------|------------------|--|
| Reference Code | BE#01 | |
| Function | User | |
| Subsystems | Brokerage Engine | |
| Type, State | | |
| RESTful-API | | |
| Indicative Endpoints | | |

⁸ https://www.hyperledger.org/projects/fabric

-

⁹ https://hyperledger.github.io/composer/

| GET /api/User | Get a list of all users registered with the brokerage engine | |
|-----------------------------|--|--|
| POST /api/User | Add a user to the brokerage engine | |
| GET /api/User/{id} | Get user's details | |
| Input Data (for POST) | | |
| { | | |
| "\$class": "eu.aegis.User", | | |
| "uid": "string", | | |
| "balance": "0.0", | | |
| "externalAssets": [| | |
| {} | | |
|] | | |
| } | | |

Table 3-22: Brokerage Engine technical interface 1

| Technical Interface | | |
|-----------------------------------|--------------------------------------|--|
| Reference Code | BE#02 | |
| Function | AEGISAsset | |
| Subsystems | Brokerage Engine | |
| Type, State | | |
| RESTful-API | | |
| Indicative Endpoints | | |
| GET /api/AEGISAsset | Get a list of all AEGIS assets | |
| POST /api/AEGISAsset | Add an asset to the brokerage engine | |
| GET /api/AEGISAsset /{id} | Get asset's details | |
| Input Data (for POST) | | |
| { | | |
| "\$class": "eu.aegis.AEGISAsset", | | |
| "aid": "string", | | |

```
"type": "Dataset",

"cost": "0.0",

"status": "Free",

"exclusivity": "None",

"contractText": "string",

"owner": {}

}
```

Table 3-23: Brokerage Engine technical interface 2

| Technical Interface | | |
|---|------------------|--|
| Reference Code | BE#03 | |
| Function | BuyAsset | |
| Subsystems | Brokerage Engine | |
| Type, State | | |
| RESTful-API | | |
| Indicative Endpoint | | |
| POST /api/BuyAsset | Buy an asset | |
| Input Data | | |
| Input Data | | |
| { | | |
| "\$class": "eu.aegis.BuyAsset", | , | |
| "buyer": {}, | | |
| "relatedAsset": {}, | | |
| "transactionId": "string", | | |
| "timestamp": "2018-03-21T10:10:29.343Z" | | |
| } | | |

Table 3-24: Brokerage Engine technical interface 3

```
Technical Interface
```

| Reference Code | BE#04 | | |
|---|---|--|--|
| Function | ChangeAssetStatus | | |
| Subsystems | Brokerage Engine | | |
| Type, State | | | |
| RESTful-API | | | |
| Indicative Endpoint | | | |
| POST /api/ChangeAssetStatus | Change the status of an AEGIS asset (i.e. free, paid etc) | | |
| Input Data | | | |
| { | | | |
| "\$class": "eu.aegis.ChangeAs | setStatus", | | |
| "newStatus": "Free", | "newStatus": "Free", | | |
| "relatedAsset": {}, | | | |
| "transactionId": "string", | | | |
| "timestamp": "2018-03-21T10:10:29.356Z" | | | |
| } | | | |

Table 3-25: Brokerage Engine technical interface 4

| Technical Interface | | |
|-----------------------------|--|--|
| Reference Code | BE#05 | |
| Function | ChangeExclusivity | |
| Subsystems | Brokerage Engine | |
| Type, State | | |
| RESTful-API | | |
| Indicative Endpoints | | |
| POST /api/ChangeExclusivity | Change the exclusivity of an AEGIS asset (i.e. none, lifetime etc) | |

```
Input Data

{
    "$class": "eu.aegis.ChangeExclusivity",
    "newPeriod": "None",
    "relatedAsset": {},
    "transactionId": "string",
    "timestamp": "2018-03-21T10:10:29.368Z"
}
```

Table 3-26: Brokerage Engine technical interface 5

| Technical Interface | | | |
|---|----------------------|--|--|
| Reference Code | BE#06 | | |
| Function | LoadBalance | | |
| Subsystems | Brokerage Engine | | |
| Type, State | | | |
| RESTful-API | | | |
| Indicative Endpoints | Indicative Endpoints | | |
| POST /api/LoadBalance Loads currency to the balance of a user | | | |
| Input Data | | | |
| { | | | |
| "\$class": "eu.aegis.LoadBalan | ice", | | |
| "amount": 0, | "amount": 0, | | |
| "user": {}, | | | |
| "transactionId": "string", | | | |
| "timestamp": "2018-03-21T10:10:29.384Z" | | | |
| } | | | |

Table 3-27: Brokerage Engine technical interface 6

3.5. AEGIS Data Store

3.5.1. Overview

The AEGIS Data Store has two distinct components; the HopsFS, which is the distributed file system mainly used for storing large amounts of data, as well as, the AEGIS Metadata Service, which is responsible for storing the metadata about the datasets.

3.5.2. HopsFS filesystem

The AEGIS Data Store component is responsible for storing data that were collected and curated by the Harvester. A distributed file system approach was chosen for flexibility, reliability, and scalability. The distributed file system will allow storing large amounts of data while enabling access to the data from other AEGIS supported services such as the Query Builder and the Visualiser. In particular, the distributed file system is primarily responsible for storing large files, that is, files ranging from megabytes to terabytes in size. However, as seen in many production Big Data clusters such as the ones at Yahoo and Spotify [1], it has been observed that almost 20% of the files in the cluster are less than 4 KB in size and as much as 42% of all the file system operations are performed on files less than 16 KB in size.

Under the hood, AEGIS uses HopsFS as the main file system to store the data. HopsFS is a reliable, highly scalable, and fault tolerant distributed file system. A file is stored as list of blocks that is triple replicated for fault tolerance. Unlike HDFS that stores the file system metadata in memory, HopsFS keeps all the file system metadata in an in-memory distributed database providing bigger clusters with higher throughput.

In addition to the traditional POSIX permissions model, HopsFS supports extended Access Control Lists (ACLs). ACLs are useful for implementing permission requirements beyond the usage of only users and groups.

Moreover, HopsFS supports heterogeneous storage model that allows Datanodes to annotate each of their datanode directory with a storage type. The storage type identifies the underlying storage media (HDD, SSD, etc.). A storage policy can then be specified per directory in HopsFS to dictate which storage types to be used when adding new files and/or directories. For example "All_SSD" policy enforces all replicas to be stored on SSD.

Updates from V2.0:

- Support for Access Control Lists (ACLs)
- Support for Heterogeneous Storage
- Bug Fixes
- Performance and usability improvements

3.5.2.1. List of microservices

HopsFS runs as a service in the AEGIS cluster where users can interact with it using the AEGIS user interface and the REST API provided by Hopsworks. Under the hood, the AEGIS user interface communicates with HopsFS using the client APIs.

| Component Name | Microservice Name | Functionalities |
|-----------------------|-------------------|---|
| HopsFS | File System | Perform file system operations such as create, mkdir, delete, append, etc |
| | (Client/Web APIs) | |

Table 3-28: HopsFS list of microservices

3.5.2.2. Technologies to be used

The AEGIS platform uses a file system, HopsFS, as the main store for Big Data. HopsFS is a drop-in replacement for Hadoop Distributed File System (HDFS). HopsFS is designed primarily to store large files, however, as reported most of production clusters contains a large number of small files (< 64KB). Therefore, we have extended HopsFS to efficiently manage large number of small files using a tiered storage solution. The tiers range from the highest tier where an in-memory database stores very small files (<1 KB), to the next tier where small files (<64 KB) are stored in Solid State Drives (SSDs), also using the database, to the largest tier, the existing Hadoop block storage layer for large files. Our approach is based on extending HopsFS with an inode stuffing technique, where we embed the contents of small files with the metadata and use database transactions and database replication guarantees to ensure the availability, integrity, and consistency of small files.

Updates from V2.0:

- Support for Access Control Lists (ACLs)
- Support for Heterogeneous Storage

3.5.2.3. APIs and exposed outcomes

The small files are handled transparently by the client and the file system without involving the users. It is recommended to interact with the data in HopsFS from the AEGIS user interface. However, HopsFS can be accessed using the command line, Java client APIs, and RESTful APIs.

| Technical Interface | |
|--------------------------|-------------------|
| Reference Code | EDS#01 |
| Function | HopsFS FileSystem |
| Subsystems | HopsFS |
| Type, State | · |
| RPC, Synchronous | |
| API Documentation | |

https://hadoop.apache.org/docs/stable/api/org/apache/hadoop/fs/FileSystem.html

Unsupported Calls:

- (get|set|list|remove)XAttr: At the moment adding extended metadata is done from Hopsworks
- (create|rename|delete)Snapshot

Input Data

Multiple formats (depending on the chosen interface)

Output Data

Multiple formats (depending on the chosen interface)

Table 3-29: AEGIS Data Store technical interface 1

| Technical Interface | |
|---------------------|----------------|
| Reference Code | EDS#02 |
| Function | HopsFS WebHDFS |
| Subsystems | HopsFS |
| Type, State | I |

RESTful-API, Synchronous

API Documentation

https://hadoop.apache.org/docs/stable/hadoop-project-dist/hadoop-hdfs/WebHDFS.html

Unsupported Call:

- (get|set|remove)XAttr
- (create|rename|delete)Snapshot

Input Data

Multiple formats (depending on the chosen interface)

Output Data

Multiple formats (depending on the chosen interface)

Table 3-30: AEGIS Data Store technical interface 2

3.5.3. AEGIS Metadata Service

3.5.3.1. Overview

The AEGIS Metadata Service (previously denominated solely as AEGIS Linked Data Store) is responsible for storing the metadata associated with a particular dataset within the AEGIS platform. These metadata pose the foundation of the processing of the data within the AEGIS platform, since they offer detailed information about the semantic and syntax of the data. This allows choosing appropriate analysis and visualisation methods. The metadata will be stored as Linked Data, using the AEGIS ontology and vocabulary¹⁰, which is based upon the DCAT-AP specifications. It will be developed as a service and integrated into the AEGIS Data Store.

Updates from V2.0:

 The name of the service was changed from AEGIS Linked Data Store to AEGIS Metadata Service.

3.5.3.2. Concept

The metadata management of the AEGIS platform is based on Linked Data specifications and technologies. It heavily reuses existing Linked Data vocabularies and relies on the Resource Description Framework (RDF). The native store for RDF is a triplestore. In general, triplestores only offer basic management functionality, mostly restricted to SPARQL features. In order to integrate the metadata into the AEGIS platform additional functionalities are required:

- URI Management The URIs need to consistent throughout the entire metadata and follow one specified pattern in order to ensure proper integration.
- Access Control Datasets may be not public and therefore the metadata needs to be protected too. Therefore, it is required to implemented suitable access control.
- Multilingual Capacities RDF natively supports the provision of multilingual literals. This feature needs to be harnessed appropriately.
- Data Store Synchronisation The metadata needs to be synchronised with the actually available datasets.
- Recommendation Service Based on suitable and advanced SPARQL queries similar datasets can be recommended.
- Thesauri and Vocabulary Management The metadata should follow highest quality standards, avoid redundancies and reuse existing vocabularies.

In order to fulfil the additional functionalities, the AEGIS Metadata Service consists out of three basic components:

• A standard triplestore as basic store

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¹⁰ https://github.com/aegisbigdata/aegis-ontology

- A core metadata service for managing URIs, access control, multiple languages and synchronisation
- The LinDA Vocabulary and Metadata Repository for managing existing vocabulary and thesauri.

The detailed concepts for the specific functionalities will be designed and developed in the course of the project and will be presented in the final version of this deliverable. LinDA will be responsible for the management of thesauri and existing vocabulary. In detail, the following functions will be provided by LinDA:

- Provide an autocomplete functionality for metadata properties with defined namespaces. E.g. the EU publications office publishes thesauri for many DCAT-AP properties.
- Assist in the provision of metadata properties, which may be independent of the AEGIS
 core vocabulary. For example propose appropriate properties or classes for a given
 keyword.

3.5.3.3. List of microservices

The AEGIS Metadata Service is a combination of two microservices.

| Component Name | Microservice Name | Functionalities |
|---------------------------|---------------------------|--|
| AEGIS Metadata Service | | Creating and modifying the Linked Data metadata Transform simple JSON to Linked Data Recommendation engine for getting similar or suitable additional data |
| | OntologyManagementService | Management of the AEGIS Linked Data vocabularies and ontologies Exposes reusable namespaces for generating the metadata Based on the LinDA Vocabulary and Metadata Repository |

Table 3-31: AEGIS Metadata service list of microservices

3.5.3.4. Technologies to be used

The first prototype of the metadata service is implemented with the following technologies:

- Apache Fuseki Triplestore
- Play Framework for the CoreMetadataService

In the next iteration the CoreMetadataService will be migrated to the Vert.x framework in order to increase scalability and allow a better deployment. In addition, the LinDA Vocabulary and Metadata Repository will become a core component of the service and will be modified to the needs of the metadata service. Moreover, the application of a different triplestore will be investigated.

Updates from V2.0:

• Migration from Play Framework to Vert.x

3.5.3.5. APIs and exposed outcomes

The AEGIS Metadata service will be exposed as one artefact and service.

| Technical Interface | | |
|--------------------------|--------------------------------------|--|
| Reference Code | AL#01 | |
| Function | Managing the AEGIS metadata | |
| Subsystems | Triplestore | |
| Type, State | | |
| RESTful-API, SPARQI | _ endpoint | |
| Endpoint URI | | |
| http://aegis-metadata.fc | okus.fraunhofer.de/ (Testing system) | |
| Input Data | | |
| Metadata as JSON or R | LDF | |
| Output Data | | |
| Metadata as JSON or R | DF | |
| | | |

Table 3-32: AEGIS Metadata Service technical interface

3.6. AEGIS Integrated Services

3.6.1. Overview

The AEGIS platform provides a multi-tenant data management and processing services for Big Data. The multi-tenancy behaviour allows different users and services to securely and privately access and process their data. The AEGIS platform enables users to share their data with other users on the platform and allow access for specific services. In addition, users can use different data processing services that are supported by the platform to process and visualise their data. Under the hood, the data are mainly stored in the AEGIS Data Store; however, the AEGIS Data Store APIs are kept hidden from users. Instead, the AEGIS platform provides a Project/Dataset service to allow users to upload/download, explore, and do analysis on their data in a secure way without interacting with the AEGIS Data Store directly. To ensure secure and private access to the data, each user has an x509 certificate per project as well as a specific project user for the Data Store per project. The certificate has a CN field which contains the project specific username and that gives the platform the possibility to provide application level authorisation at the RPC server. For instance, any application executed within a YARN container will access the Data Store (HopsFS) as the user running this application. YARN acts as a proxy user for the user and accesses HopsFS (HDFS) through user impersonation. Thus, all accesses are seen as being done by the running user and storage access is limited to the files that can be accessed by this user. Moreover, each user has a specific project user for each of the projects that he/she can access. This means that any YARN application can only access files that are accessible for the running project and cannot normally access files cross projects, even if the project belongs to the same user as the one running the application. All applications running on top of YARN such as Spark, will be governed by the same storage access as described for a YARN container.

Under the hood, AEGIS builds upon Hopsworks to provide integrated support for different services such as interactive notebooks with Zeppelin and Jupyter that are used mainly by the Query Builder, Algorithm Execution Container and the Visualiser components. Other services such as Kafka, and ELK stack are also supported.

In addition to this, Tensorflow is also supported. TensorFlow is an open source software library released in 2015 by Google to make it easier to design, build, and train deep learning models. Although TensorFlow is only one of several options available, we choose to use it here because of its good design, ease of use and large community of adopters.

Updates from V2.0:

- Tensorflow support
- Bug Fixes
- Performance and usability improvements

3.6.2. List of microservices

Hopsworks provides different integrated services that interact with each other and with users using the Hopsworks REST API.

| Component Name | Microservice Name | Functionalities |
|-----------------------|-------------------|---|
| Users | Auth | Provides authentication functionality for users to login, logout, register, and recover password |
| | User | Provides information about the current user |
| | Messages | Provides an inbox functionality for users where they receive/send share requests for Datasets |
| Projects | Projects | Provides information about the projects for a user, as well as details on each of the projects such as list of datasets, description, and team members |
| | Datasets | Provides information about the datasets for a user. |
| | | It provides upload, download, and explore functionalities on the data |

Table 3-33: AEGIS Integrated services list of microservices

3.6.3. Technologies to be used

AEGIS builds upon Hopsworks to provide multi-tenant data management and processing services for BigData. Hopsworks is a project-based multi-tenant platform for secure collaborative data science that runs on top of HopsFS. It provides an integrated support for different data parallel processing services such as Spark, Flink, and MapReduce, as well as a scalable messaging bus with Kafka, and interactive notebooks with Zeppelin and Jupyter. Hopsworks introduces new abstractions called Projects and Datasets that provide the basis for which users can securely upload and privately process data and securely collaborate with other users on the platform. A Dataset is a directory subtree in HopsFS that can be shared between projects. A Project is a collection of datasets, users, and notebooks (Zeppelin, Jupyter). In the AEGIS platform, Jupyter is mainly used by the Query Builder and the Visualiser components of the platform, while Zeppelin is mainly used by the Algorithm Execution Container. Most of the updates are bug fixes for usability and performance of the platform and the certificates handling.

TensorFlow is an ecosystem for developing deep learning models, containing all the tools from building to deployment. TensorFlow has 3 main components:

- 1. TensorFlow(API) contains the API's to define the models and train the models with the data.
- 2. TensorBoard helps to analyse, visualize, and debug TensorFlow graphs.
- 3. TensorFlow Serving helps to deploy the pre-trained models.

Updates from V2.0:

• Tensorflow support

3.6.4. APIs and exposed outcomes

The AEGIS platform APIs have not changed since most of the updates are bug fixes for platform usability and performance that will not require change of user facing APIs.

3.6.4.1. Users API

Hopworks provides a RESTful API to create users and to login to the platform. As documented in https://app.swaggerhub.com/apis/maismail/hopsworks-user-api/1.0.0

3.6.4.2. Projects and Datasets

Once logged in, users can create Project/Dataset, add member to a Project, share their Dataset, or upload/download/analyse their data. The RESTful API is documented in https://app.swaggerhub.com/apis/maismail/hopsworks-core-api/1.0.0

3.6.4.3. Interactive Notebooks

Users can create an interactive notebook in their Project using Zeppelin and Jupyter. Zeppelin and Jupyter are web-based notebooks that allow users to interactively analyse and visualise their data using different frameworks such as Spark. They only provide some basic charts; however, different JavaScript libraries could be loaded to support a more complex visualisation or the AEGIS Visualiser component could be utilised.

3.7. Query Builder

3.7.1. Overview

Query Builder is the component that provides the capability to interactively define and execute queries on data available in the AEGIS system. Query Builder is primarily addressed to the AEGIS users with limited technical background, but is potentially useful for all, as it will simplify and accelerate the process of retrieving data and creating views on them, which could be then saved as new datasets or used as input for more high-level AEGIS tools, like the Visualiser and the Algorithm Execution Container.

The tool is developed as interactive Notes inside Apache Zeppelin and Jupyter Notebooks, offering intuitive data browsing, selection and manipulation facilitated through smart metadata usage in the background. As explained in section 3.2, the functionalities of Query Builder are not limited to the retrieval and combination of various datasets, but also support certain necessary processing tasks that cannot be known a priori, in terms of data filtering and cleansing. Thus, the tool incorporates functionalities that may conceptually be more relevant to

the data cleansing tool. However, by integrating them in the current tool, there is a two-fold advantage: (a)the user is offered a more intuitive workflow, since data cleansing requirements may be not known prior to and independently of the query creation process and (b)the computational power of the AEGIS system is fully leveraged, as cleansing may be a very heavy process when dealing with big data.

The high-level functionalities to be offered by the Query Builder user interface are as follows:

- Dataset browsing powered by the available metadata
- Dataset selection and data preview
- Dataset merging and appending (metadata-enhanced)
- Data filtering, both row-wise and column-wise (metadata-enhanced)
- Various data manipulation and cleansing tasks, e.g. value replacement, fill-in of null values, changing column names, combining columns, removing duplicate entries etc. (metadata-enhanced)
- Save created view on data as new dataset
- Export the Python code that can be used to achieve the same data manipulation results that were created through the user's interaction with the UI
- Provide the Spark/Pandas DataFrame that corresponds to the created data view as input to Visualiser and/or Algorithm Execution Container
- Provide the Spark/Pandas DataFrame that corresponds to the created data view to the tech-savvy user that wants to directly use it in his/her code

The annotation "metadata-enabled" that is used in the above list refers to the fact that Query Builder leverages the metadata available for each file in the AEGIS system in order to provide its enhanced data selection and manipulation capabilities, i.e. enabling/disabling certain data merging and filtering options according to the data schema and also allowing the user to perform more targeted dataset exploration and retrieval based on the available metadata.

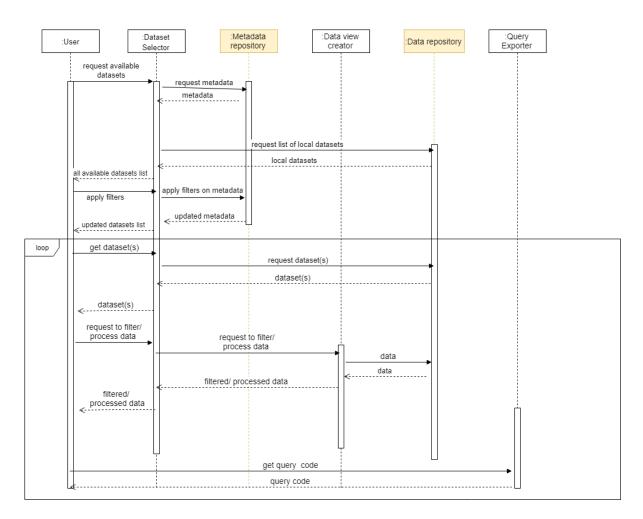


Figure 3-7: Query building and execution workflow

Updates from V2.0:

No updates

3.7.2. List of microservices

Query Builder is one of the components developed inside a notebook, as explained in Section 2.3. As such, the microservices of which it is composed correspond to specific functionalities also implemented inside the same note of the notebook. The microservices interact directly through Python code, as, in the normal workflow, they are all executed as parts of the same underlying process/job. Hence, the distinction of the five underlying microservices mostly corresponds to the conceptually separate tasks that are performed by each of them and the fact that in another context, i.e. externally to the Notebooks, they would constitute different services.

The first and last microservices (namely the Dataset Selector Service and the Query Exporter Service) correspond directly to sub-components of the Query Builder, which are shown in the Sequence diagram in Figure 3-7. The other three microservices (Cleanser Service, Merger Service and Dataset Creator Service) are integrated under the Data view creator part of the Query Builder (also shown in the corresponding sequence diagram).

| Component Name | Microservice Name | Functionalities |
|-----------------------|--------------------------|--|
| Query Builder | Dataset Selector Service | Interact with Data Harvester to get the list of datasets and all related information accessible by the current user Provide the available datasets as a list for the user to directly select and through a faceted search widget to progressively narrow down results Acquire the list of available and accessible datasets from HopsFS of AEGIS Data Store Provide informative metadata for each available dataset Adjust the list of datasets shown to the user based on the performed choices and provide semantically enhanced |
| | | suggestions Retrieve the selected dataset from the filesystem and load it into a DataFrame |
| | Dataset Cleanser Service | Remove/ Replace missing values Perform data interpolation Provide a preview of the applied actions Provide aggregations and other statistics that help examine data integrity Apply rule-based data transformations |
| | Dataset Merger Service | Merge/Join datasets |
| | Dataset Creator Service | Perform approximate joins Apply aggregations on datasets Select/Drop columns Apply value replacing Rename columns Perform data interpolation Apply selectors/ filters to dataset to refine the retrieved data Save a dataset as a file in the filesystem Provide a preview of the applied actions Load created dataset in a DataFrame |

| Query Exporter Service | Translate data processing/filtering/merging actions performed so far into Python code that can be used externally to the tool to produce the same results Export dataset to new file |
|------------------------|---|
|------------------------|---|

Table 3-34: Query Builder list of microservices

3.7.3. Technologies to be used

Query Builder is developed as a preconfigured note in the Apache Zeppelin Notebook and in Jupyter Notebook. Specifically for Zeppelin, its user interface is developed using JavaScript and AngularJS, whereas the backend functionalities are developed mainly in Python and PySpark. For certain tasks, mostly related to Zeppelin's internal way of sharing variables across paragraphs of different programming languages, Scala is also used. The Query Builder version created for Jupyter is implemented in Python and PySpark for the data processing and JavaScript for the user interface.

In order to provide effective big data querying and processing functionalities, Query Builder leverages the power of Apache Spark, which is available inside AEGIS Integrated Services (presented in section 3.6).

Updates from V2.0:

No updates

3.7.4. APIs and exposed outcomes

Query Builder has two types of exposed outcomes:

- 1. The Python code that corresponds to the actions performed by the user through the tool's user interface. The generated code can be used then by the user independently in order to achieve the same results without having to repeat the performed steps and can also be directly edited by more tech-savvy users.
- 2. The DataFrame that contains the data view that was created through all the data manipulation tasks performed by the user. The DataFrame can be passed to the Visualiser and the Algorithm Execution Container or be directly manipulated inside Zeppelin/Jupyter through the user's custom code.

Although these are the main outcomes of the tool, there are also two more possible outcomes that may be produced through the user's usage of the tool:

- 1. New files may be created and stored in the local filesystem
- 2. The Zeppelin/Jupyter note that is created may itself serve as an outcome if the user chooses to save and keep it for future reference.

3.8. Visualiser

3.8.1. Overview

The Visualiser is the component enabling the visualisation capabilities of the AEGIS platform for the output of the querying and filtering results coming from the Query Builder as well as the output of the analysis results as produced from the Algorithm Execution Container. More specifically, the Visualiser is undertaking the necessary actions to address the advanced visualisation requirements of the AEGIS platform by offering a variety of visualisation formats, which span from simple static charts to interactive charts offering several layers of information and customisation.

The Visualiser is implemented as predefined Jupyter notebook, which is part of the AEGIS Integrated Services enabling the interactive web-based notebook functionality in the AEGIS platform. The Visualiser component consists of a set of functionalities which support the execution of the visualisation process. This set of functionalities includes the dataset selection, the dataset preview generation, the visualisation type selection, the visualisation configuration, the visualisation generation and the interactive dashboard. In the following list, the functionalities of the Visualiser are elaborated:

- Dataset selection: The list of available datasets within the project are presented to the user for selection¹¹.
- Dataset preview generation: Upon selecting the dataset, a preview of the dataset is displayed¹¹.
- Visualisation type selection: The list of available visualisations for the selected dataset is presented to the user for selection.
- Visualisation configuration: Based on the visualisation type selected for the desired dataset a set of parameters are displayed to the user to trim the visualisation.
- Visualisation generation: Once the visualisation type along with the parameters are set for the desired dataset, the visualisation generation is triggered. The results can be used in the current session for creating an interactive dashboard.
- Dashboard: The result of the visualisation generation is presented to the user into an interactive dashboard. This dashboard can contain also multiple generated visualisation results.

Figure 3-8 depicts the execution of the visualisation process.

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¹¹ This functionality will be obsolete once the AEGIS notebooks are integrated into one holistic notebook, as described in Section 2.3. Within this holistic notebook, the Visualiser will receive the input for visualisation directly from the Query Builder or the Algorithm Execution Container.

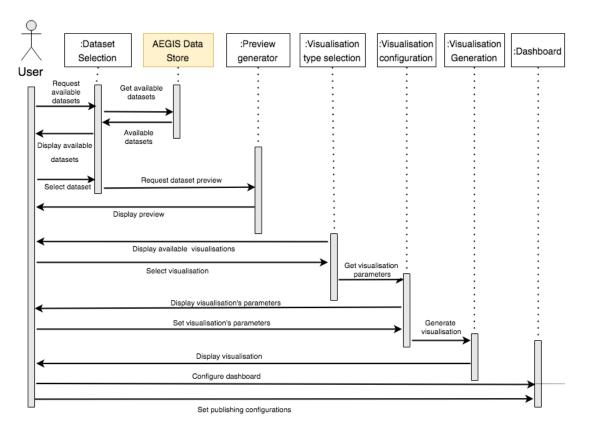


Figure 3-8: Sequence diagram of the visualiser component

In the first version of the Visualiser a variety of visualisation types is supported and will be further extended in the upcoming versions. The list of supported visualisation types includes scatter plot, bar chart, pie chart, bubble chart, time series, heat map, map, box plot and histogram.

Updates from V2.0:

- Enhanced map visualisation (markers with custom labels and colours)
- Support for choropleth maps

3.8.2. List of microservices

The Visualiser component, as explained in Section 2.3, is developed as a predefined Jupyter notebook and it is following the microservices architecture. The designed microservices are enabling the advanced visualisation capabilities of the AEGIS platform and are orchestrated towards the execution of the visualisation process, as described in the previous section. Each microservice is assigned with a specific functionality within the visualisation process and is implemented as a note in the same notebook, interacting through Python code.

In particular, the Dataset Selection and the Preview Generator, as shown in Figure 3-8, are undertaken by DatasetSelectionService microservice. Additionally, the microservice VisualisationSelectionService is responsible for the Visualisation Type Selection and the Visualisation Configuration. The Visualisation Generation is handled by the

ChartBuildingService and ChartCreationService microservices. Finally, the microservice VisualisationService is handling the Dashboard functionality.

In total five microservices will be developed and are described in the following table:

| Component Name | Microservice Name | Functionalities |
|-------------------|---------------------------------------|--|
| Visualiser | DatasetSelectionService ¹² | Acquire the list of available and accessible datasets from HopsFS of AEGIS Data Store Provide the list of available datasets for selection Provide a preview of the selected dataset |
| | VisualisationSelectionService | Provide the list of available visualisation types Provide and manage the parameters (such as axis variables and titles) for each visualisation type |
| | ChartBuildingService | Prepare the data in the appropriate format based on the selection of the visualisation type and set parameters |
| | ChartCreationService | Generate the appropriate visualisation based on the data, visualisation type and parameters |
| | VisualisationService | Display the generated visualisation as a UI component |

Table 3-35: Visualiser list of microservices

3.8.3. Technologies to be used

As already described the Visualiser component is implemented as a predefined Jupyter notebook, a multipurpose interactive web-based notebook service for running Spark code on Hops YARN, which is part of the AEGIS Integrated Services. Jupyter offers functionalities for data visualisation out-of-the box in addition to data ingestion, data discovery and data analytics functionalities. In addition to Jupyter, the user interface is implemented using Python,

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¹² This microservice will be obsolete once the AEGIS notebooks are integrated into one holistic notebook, as described in Section 2.3. Within this holistic notebook, the Visualiser will receive the input for visualisation directly from the Query Builder or the Algorithm Execution Container.

JavaScript and HTML with the support of two Python libraries, namely the Folium¹³ and the highcharts¹⁴ libraries. These specific libraries were selected as they provide state-of-the-art visualisations specialised in charts and data visualisation.

Updates from V2.0:

No updates

3.8.4. APIs and exposed outcomes

The Visualiser is providing the generated visualisation as an exposed outcome. The Visualiser is generating the visualisation tailored by the user, taking as input either the results of the query processing as facilitated by the Query Builder or the analysis results that are provided as the outcome of Algorithm Execution Container. The produced visualisation can be saved as an image or introduced in an interactive dashboard.

3.9. Algorithm Execution Container

3.9.1. Overview

Analytics in AEGIS are to be constructed with the use of the Algorithm Execution Container, which is a module that runs on top of a web-notebook and can be used either on its own, or as a follow-up to the Query Builder notebook.

With the aim to provide extra functionalities to both novel and non-expert users, this component features a UI that consists of an algorithm selection template, offering to users some basic information regarding each algorithm available in the big data analysis platform of AEGIS.

Initially, the user has to select a Dataset which will be the basis for the analysis, and the "Dataset Selector" microservice is triggered to retrieve the dataset from the AEGIS Data Store. Following this, the user proceeds with the selection of an algorithm (out of an algorithm family), specific parameters of each algorithm are presented, to provide to users the option to fill in all variables of the algorithm and perform an analysis over the platform. These actions are collected by the "Analysis Trigger" microservice, which is composed of a series of nested or interlinked notebook paragraphs and that is passing over the analysis request to the underlying Spark engine. The output of an analysis is then generated by the Algorithm Execution Container and the performance of each algorithm is being previewed in the same notebook and is saved back into the AEGIS Data Store using the "Analysis Exporter" microservice.

Moreover, the execution of a new algorithm using the outputs of the previously conducted analysis may lead to the enablement of "chainable" analyses.

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¹³ http://folium.readthedocs.io/en/latest/

¹⁴ https://www.highcharts.com/

The current design of the Algorithm Execution Container will support the following categories of analyses:

- Dimensionality Reduction/Feature Extraction/Selection
- NLP Functions
- Recommenders
- Clustering
- Classification/Regression

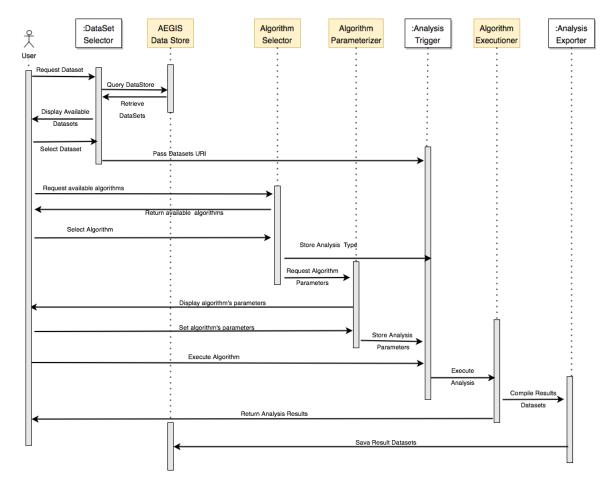


Figure 3-9: Algorithm Execution Container sequence diagram

Updates from V2.0:

- More analytics algorithms included (Word2Vec, Random Forest, Multinomial Logistic Regression, Latent Dirichlet Allocation and support for One vs All Classification strategy).
- Basic error messages included

3.9.2. List of microservices

The Algorithm Execution Container is a component that is developed inside the Zeppelin Notebook and is part of the overall analysis functionality of the platform. The microservices interact with the backbone through Python code.

| Component Name | Microservice Name | Functionalities |
|-------------------------------------|-------------------|--|
| Algorithm Execution Container | Dataset Selector | • Interacts with the AEGIS storage and directly selects a dataset by its URI |
| | Analysis Trigger | Selects the analysis to be performed by the user |
| | | Passes the analysis parameters to the analytics function |
| | | Triggers the analysis to be performed in the AEGIS Spark |
| | Analysis Exporter | Stores the analysed dataframe in the AEGIS storage point |
| | | • Stores the analysis outputs in the AEGIS storage point |

Table 3-36: Algorithm Execution Container list of microservices

3.9.3. Technologies to be used

The Front-End of the Algorithm Execution Container is based on AngularJS framework running on top of an Apache Zeppelin notebook as already pre-defined paragraphs that present the UI to the user. MLlib is the core algorithm library to be supported. Due to certain constrains in the interoperation between AngularJS and MLlib under the environment of Zeppelin, Scala is being used to configure and execute the algorithms that are selected by the user. Python is being used as the language to interpret the paragraphs in Zeppelin.

At the same time, work on providing a Jupyter implementation of the algorithm execution container has started, keeping in principle the basic workflow principles with that of the Zeppelin version, but focusing on including more algorithms and integrating the container with the Query Builder and the Visualiser.

Updates from V2.0:

• Translation of analytic algorithms from Scala to Python to support a Jupyter implementation of the component

3.9.4. APIs and exposed outcomes

No APIs are being provided by this component, as it directly interacts with the Zeppelin Notebook. The pre-generated Python code that corresponds to the actions performed by the user

through the tool's user interface can be used then by the user independently in order to achieve the same results without having to repeat the performed steps and can also be directly edited by more tech-savvy users. The outcomes of the component are passed back to the AEGIS storage facility, if the user chooses to save and keep them for future reference.

3.10. AEGIS Front-End

3.10.1. Overview

The AEGIS Front-End, as shown in Figure 3-10, is the upper layer of the whole AEGIS architecture, receiving and sending the outputs/inputs from/to the AEGIS API layer and from/to the AEGIS Harvester.

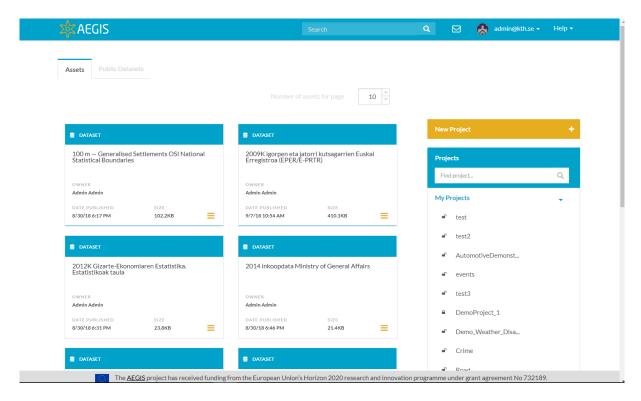


Figure 3-10: Home page of the AEGIS platform

The first step to access the platform is the creation/authentication of an account. Then the user will be able to browse all the available assets (e.g. datasets, projects) and to browse the public datasets, or to select a project from a menu on the right side of the page. Moreover, a new project can be created, with the option to specify the related members. At this stage, users can be assigned the roles of data owner/data scientist, with different permissions for the management of the projects/datasets. In next releases of the platform, the users' roles could be reviewed according to the methodology developed in D1.3.

The Front-End facilitates all the AEGIS components which have an interaction with the user (e.g. Query Builder, Visualiser, Algorithm Execution Container). A common graphical environment has been developed, according to the look and feel of the AEGIS institutional web site, including direct links to the single web components corresponding to the AEGIS main functionalities, here integrated in the form of notebooks. In particular, the main menu of the AEGIS platform (see the figure below), for each project, presents the following items: Get

Started, Assets, Project Datasets, Project Metadata, Query Builder, Analytics, Visualiser, Jupyter, Zeppelin, Kafka, Jobs, Metadata Designer, Settings, Members. The applications related to Queries, Visualisations and Analytics have been implemented within Apache Zeppelin or Jupyter in form of notebooks.

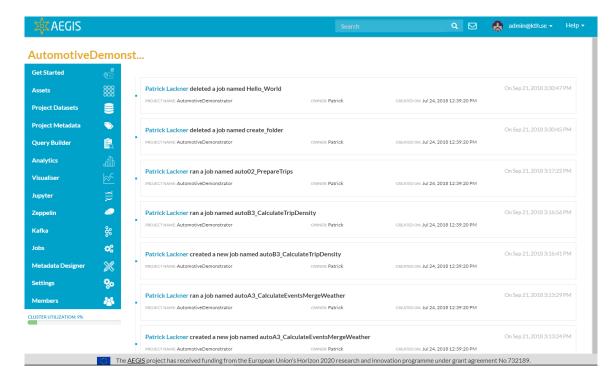


Figure 3-11: Main menu from the AEGIS platform

A "Get Started" page has been added to the platform, introducing the users to the different tools offered by AEGIS in order to address the diverse needs of its users. At the same time, it has been provided a Getting started workflow, describing the "first visit" step by step and introducing the advanced options offered by the platform.

Besides, a detailed user guide has been attached to each of the following tools: Query Builder, Visualiser, Algorithm Execution Container (see Figure 3-12).

Finally, as explained in detail in section 3.11, the platform will feature support to multilingualism on multiple levels. This will impact on the AngularJS Frontend, which will integrate translations of the static content of the platform as well as an online machine translation service, allowing an on-the-fly translation of data.

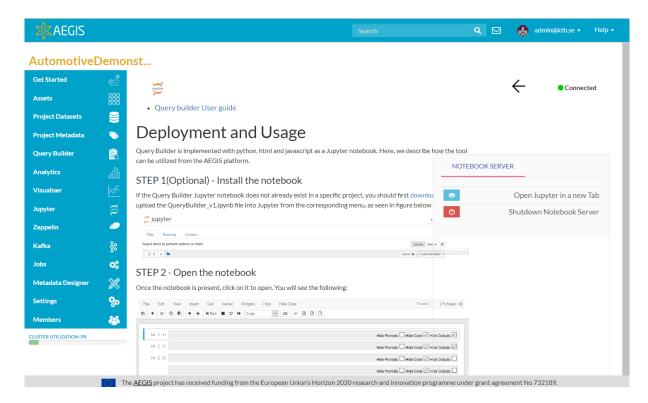


Figure 3-12: User guide for the Query Builder

Updates from V2.0:

- Restyling of the home page (Assets/Public datasets tabs, Projects menu)Assets boxes are now clickable
- Get Started page
- User guide pages about Query Builder, Visualiser and Algorithm Execution Container
- Addition of "Project Metadata" item in the main menu
- Adjusted the formatting of Assets and Project Datasets pages
- Bugs fixing

3.10.2. List of microservices

A list of microservices have been developed in order to handle the selection of all the assets available in the repository (e.g. projects and datasets). In total two microservices will be developed and are described in the following table:

| Component Name | Microservice Name | Functionalities |
|----------------|-------------------|--|
| Front-End | GetAssets | Return the sorted list of all the available assets in the platform (e.g. datasets, projects) |

| | GetPublic Datasets | Return the sorted list of public datasets available in the platform |
|--|--------------------|---|
|--|--------------------|---|

Table 3-37: AEGIS Front-End list of microservices

3.10.3. Technologies to be used

The AEGIS Front-End is built on top of the Hopsworks platform. Hopsworks is a self-service User Interface for Hops Hadoop, which introduces new concepts needed for project-based multi-tenancy: projects, users, and datasets. All jobs and interactive analyses are run from the HopsWorks UI and Apache Zeppelin or Jupyter Notebooks (iPython notebook style web applications). While developing the AEGIS platform, a central role has been taken by the notebook technology, providing the technology required to implement in particular the following components: Query Builder, Visualiser and Algorithm Execution Container. Apache Zeppelin and Jupyter are the selected notebook frameworks (more details in section 3.6). Another important framework which has been used for the development of the graphical user interface is AngularJS¹⁵.

AngularJS is a very powerful JavaScript based development framework to create Rich Internet Application (RIA¹⁶). It is used mostly in Single Page Application (SPA¹⁷) projects. It extends the HTML DOM with additional attributes and makes it more responsive to user actions. AngularJS is open source, completely free and used by thousands of developers around the world. It is licensed under the Apache License version 2.0. Applications written in AngularJS are cross-browser compliant. AngularJS automatically handles JavaScript code suitable for each browser and allows to implement the Model-View-Controller (MVC¹⁸) pattern on the client side using JavaScript.

Updates from V2.0:

No updates

3.10.4. APIs and exposed outcomes

No API will be provided in this version.

¹⁵ https://angularjs.org/

¹⁶ https://en.wikipedia.org/wiki/Rich_Internet_application

¹⁷ https://en.wikipedia.org/wiki/Single-page_application

¹⁸ https://en.wikipedia.org/wiki/Model%E2%80%93view%E2%80%93controller

3.11. Multilingualism Support

3.11.1. Overview

The AEGIS platform is built to handle cross-domain and cross-country datasets. Therefore, it is required to support multilingualism on multiple levels in order to facilitate an easier data discovery and data integration process. It should be noted at this point that the multilingualism support is referring to the set of technologies and tools that are utilised within the components of the AEGIS platform in order to enable multilingualism, rather than a stand-alone component of the platform.

3.11.2. Approach

Multilingualism support can be basically differentiated between three different entities, where it should be applied: static content, metadata and data. Those different entities need to be dealt with separately in order to accommodate their respective characteristics:

Static Content

Static content refers to all fixed literals or editorial content of the AEGIS platform, especially the frontend. This includes menu items, buttons, labels etc. This content needs to be translated and integrated into the frontend accordingly. For the scope of this project the translations will be limited to the most important menu items and labels. The AEGIS platform will provide the means for offering the static content in the languages: English German, Greek, Italian and Swedish.

Metadata

The multilingualism of the metadata poses the most important aspect, since it supports users in finding suitable datasets. The metadata is highly dynamic and with potentially thousands of datasets a static translation not feasible. Hence, an automatic process will be applied utilising available machine translation services. On creation or update time of the metadata, literals will be sent to suitable service and its results will be stored. The underlying technology of the AEGIS Metadata Service natively allows the storing of multiple languages for literals. It is planned to support all 24 official languages of the European Union. The translation will be limited to literals of the DCAT-AP properties. If linked entities offer the selected language, an automatic resolution will be attempted. The multilingual metadata will also be indexed by the AEGIS search component and enabling a cross-language search,

Data

A static or automatic translation of the data itself does not make sense due to its volume. In addition, (automatic) translations may corrupt the underlying and hidden insights of the data. Still, it is desired that users be able to comprehend the content, or at least get a broad idea of the content. Therefore, an online machine translation service will be integrated in the frontend, allowing an on-the-fly translation of a small excerpt of a selected file.

3.11.3. Technologies to be used

Static Content

The translations of the static content will be part of the AngularJS frontend and be applied by using established modules for enabling multilingualism support in AngularJS.

Metadata

The general support for multilingual metadata will be implemented by the AEGIS Metadata Service. It will also handle the required calls to a machine translation service and offers a respective callback if needed. A suitable service for the machine translations needs to be determined and will be introduced in the final version of this deliverable. However, the integration will be generic in order to support a straight-forward switch of providers if necessary. This matters especially because the choice of service in the scope of the AEGIS project may not fit to industrial and production needs. The following requirements will be at least considered:

- The service needs to be fully GDPR compliant
- It offers a free plan suitable for a valid evaluation of the functionality within the scope of the AEGIS project
- It supports all 24 European Languages

Data

As for the metadata a service provider for machine translation needs to be determined. The same requirements will be applied, but the support for on-the-fly translation and frontend integration support are added.

3.12. Holistic Security Approach

3.12.1. *Overview*

In the high-level architecture of the AEGIS platform the consortium identified the need for a holistic security approach that should be incorporated throughout the AEGIS platform and that will be applied in the whole lifecycle of the data exploitation safeguarding the security aspects of data in storage, in transit and in use. It should be noted at this point that the holistic security approach is not a standalone component, but rather a set of technologies and tools that are utilised within the components of the AEGIS platform in order to enable cross-platform security.

In the updated high-level architecture, as presented in D3.2, the main decision taken was the adoption of the Hopsworks¹⁹ platform as the Big Data Processing Cluster of the AEGIS platform. Hopsworks is providing out-the-box the HopsFS, a new implementation of the Hadoop Filesystem (HDFS), covering the storage solution of the AEGIS platform. With respect to the security aspect for data in storage HopsFS is offering advanced security with a plethora of authentication mechanisms as well as data access control, data integrity and data consistency mechanisms. HopsFS is making use of checksum to ensure security and integrity control of the data in storage covering the envisioned by the consortium security aspect for data in storage. It

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¹⁹ http://hops.io

should be noted at this point that several other solutions, like the use Symmetric Encryption Algorithms, Asymmetric Encryption Algorithms and Attribute-Based Encryption, have been evaluated by the consortium in order to address the security, privacy and integrity of the data stored in the AEGIS Data Store, however it was decided that those technologies are not ideal for big data ecosystems due to efficiency problems that will introduce within the data analysis process.

Concerning the security of data in transit or data in motion, which includes data transfer between the Hopsworks services and clients either within the internal network or through the internet, Hopsworks is providing data encryption via Secure Sockets Layer (SSL) and Transport Layer Security (TLS) at the RPC layer offering the required security level as envisioned by the AEGIS consortium. The third aspect of the holistic security approach is related to security of "data in use" refers to data at-rest state, residing on one particular node of the network (for example, in resident memory, swap, processor cache or disk cache). Although the AEGIS consortium has already identified a list of candidate technologies, such as Homomorphic Encryption and Verifiable Computation, it was decided that the evaluation and adoption of such technologies will be included in the upcoming release of the AEGIS platform.

Before being able to use the platform, a user must register itself and an administrator must approve it. Users authenticate themselves with a username and password. In order to start uploading files or launch jobs to the cluster the user must create a Project or be invited to an already existing one. At this point a *project specific* user is created, which is in the form of *ProjectName__Username* and this will be the effective user in the context of a Project. With this format we can distinguish between the same user belonging to a different Project. All operations in the platform are performed as the project specific user.

There are two types of certificates in Hopsworks:

- 1. Every node in the cluster is provisioned with *host certificates*. The CommonName (CN) field of the X.509 subject contains the fully qualified domain name (FQDN) of the host. This type of certificates is used by Hops daemons such as NameNode, DataNode, ResourceManager, NodeManager and by operations performed by system's superusers.
- 2. Every time a user creates a new Project or joins an already existing one, Hopsworks creates *project specific user certificates*. When a user interacts with Hops, either the filesystem or the scheduler, communication is protected using these certificates. The CN in that case will be the project specific username of that user.

Hopsworks comes with its own Certificate Authority (CA) which issues the aforementioned certificates. Finally, Hopsworks is a web application and the application server can be configured for HTTPS connections. During deployment of Hopsworks, we install the *Root CA*, also *HopsCA*. HopsCA does not issue directly the certificates, instead there is an *intermediate CA*, which is signed by HopsCA, and signs all the certificates for internal consumption. There can be multiple intermediate CAs.

The holistic security approach also covers the security aspects for the technical interfaces (e.g. REST) provided by the platform. This includes the interfaces provided by the components of the platform in regards to the authorisation, authentication and access approval mechanisms. Although Hopsworks REST API has already security mechanisms in place, more specifically it has session-based security with JSession tokens and x509 certificates for the user of every project, the consortium decided to introduce a token-based authentication with JSON Web

Token (JWT)²⁰. JWT is an open standard (RFC 7519) that defines a compact and self-contained way for securely transmitting information between parties as a JSON object.

For the introduction of the JWT as authentication and secure information exchange mechanism, a series of actions were taken. At first, a new service has been introduced and will be integrated in the backend of the AEGIS platform undertaking the token generation upon successful login, as well as token verification. The methods included within this service implement the access control mechanism that will be integrated in the AEGIS platform. In addition to the service, a new filter method has been introduced. This filter will replace the existing filter method used for the JSession tokens utilised currently in every technical interface and will perform the token verification for each incoming request. The integration of this new mechanism is an ongoing activity that will last till M24 when the new version of the AEGIS platform will be released.

The following table presents the holistic security approach of AEGIS platform for the data lifecycle security as described above.

| Security Aspect | Proposed Approach | Adopted Approach | Remarks |
|--------------------|--|--|---|
| Data in Storage | Symmetric Encryption Algorithms, Asymmetric Encryption algorithms and Attribute-Based Encryption | HopsFS mechanisms for authentication, authorisation and access control of stored data. Usage of checksums for data integrity. | The proposed technologies were evaluated and will not be introduced for performance and efficiency reasons. |
| Data in Transit | Secure Sockets Layer (SSL) and Transport Layer Security (TLS) | Hopsworks provides SSL and TLS data encryption and authentication at the RPC layer. SSL certificates were introduced in the platform. | SSL and TLS encryption are the de-facto standard in the security of data in transit. |
| Data in Use | Homomorphic Encryption, Verifiable Computation | Currently none of the technologies has been adopted | The evaluation and adoption of the proposed technologies will be included in the upcoming versions of the platform. |

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²⁰ JSON Web Tokens, https://jwt.io/

| | Token-based authentication and authorisation mechanism with JSON Web Token | will be introduced. | The implementation and integration of JSON Web Token mechanism is an ongoing activity. |
|--|--|---------------------|--|
| | with JSON Web Token | | ongoing activity. |

Table 3-38: Holistic Security Approach summary

Updates from V2.0:

• Introduction of SSL certificates in the platform.

3.12.2. Technologies to be used

The holistic security approach will be based on the following three technologies, one for each aspect of the approach. Concerning the data in storage aspect the usage of checksum was selected. Checksum is a small-sized datum derived as the outcome of the cryptographic hash function or checksum algorithm on a block of data or file. This outcome is utilised to identify data corruption errors or modifications and overall data integrity since even small changes will produce a different outcome.

With regards to the data in transit or data in motion security aspect the SSL and TLS cryptographic protocols are the de-facto standard for secure communication over the network. It ensures the secure connection by eliminating the unauthorised read and modification of the data in transit. TLS is an updated more secure version of SSL, introducing the symmetric cryptography with unique keys based on a shared secret for each connection. Each communicating parties is using a public-key to authenticate and the data integrity evaluation is performed with the use of message authentication code.

For the security of the technical interfaces of the platform JSON Web token (JWT) will be introduced for authentication and secure information exchange purposes. JSON Web Token (JWT) is an open standard (RFC 7519) utilising digitally signed using JSON Web Signature (JWS) and/or encrypted using JSON Web Encryption (JWE) JSON objects as a safe way to represent a set of information between two parties. As a consequence, this token is composed by a header, a payload and a signature. JWT is used for authentication purposes, as the token produced during the login authentication is defining the access level for the routes, services and resources of the platform. JWT can be also used for secure information transfer between communication parties.

Updates from V2.0:

No updates

3.12.3. API

Not applicable.

4. USER INTERACTION WORKFLOWS

In the current section, the main workflows that facilitate the data-driven innovation in the PSPS domains are presented, as documented in section of 4 of deliverable D3.2, with the necessary adaptations based on the updates of the components of the AEGIS platform.

All workflows are focusing on the user perspective and the purpose of this section is to hide the technical details on how the AEGIS components are interacting and on the internal processes of each component but rather illustrate the provided functionalities of AEGIS platform. All workflows are modelled in BPMN diagrams and on each workflow, a specific functionality is presented involving one or more components described in section 3. By chaining and combining these workflows, all AEGIS scenarios and identified user requirements are covered.

4.1. Sign-up and Login

The figure below shows the interactions between a user and the AEGIS user interface. A new user can create a new account by providing his/her name and password, and then wait for admin approval before being able to use the platform. In addition, a 2-factor authentication password could be used if enabled.

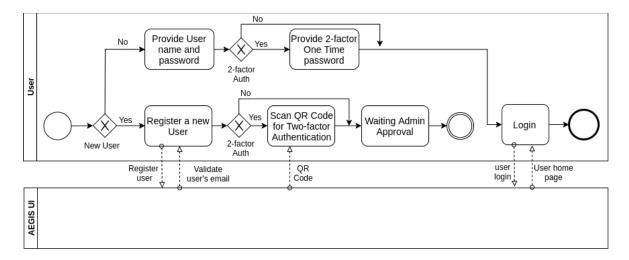


Figure 4-1: Sign-up and Login workflow

4.2. Data import

4.2.1. Importing data for a new dataset

The figure below presents a workflow of user interaction with the AEGIS harvester for registering a new dataset in AEGIS. The workflow shows the required user actions for configuring the harvester for a dataset metadata registration/import as well as its data import and transformation to the target format.

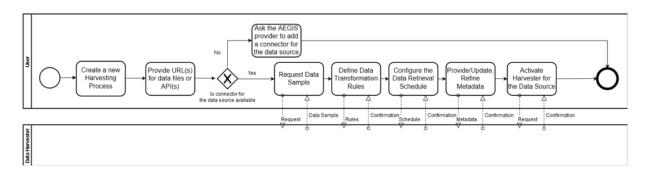


Figure 4-2: Importing data and metadata and registering them as a part of a new dataset

4.2.2. Anonymisation workflow

As explained in Section 3.3, anonymisation is performed offline, prior to uploading any potentially sensitive data to the core AEGIS platform. Anonymisation may be an iterative process, as several actions may be required until all personal information has been stripped off the original dataset. The figure below shows the actions undertaken by the user in order to anonymise their data through the provided anonymisation tool, prior to importing them to the AEGIS web platform.

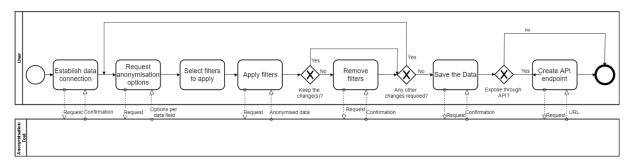


Figure 4-3: Data anonymisation workflow

4.2.3. Data cleansing workflow

As explained in section 3.2, AEGIS offers both online and offline cleansing functionalities, which may span from simple value replacements to more complex and computationally intense data manipulations. The offline data cleansing is performed through a dedicated AEGIS offline tool that offers an intuitive data cleansing workflow which is presented in the following figure.

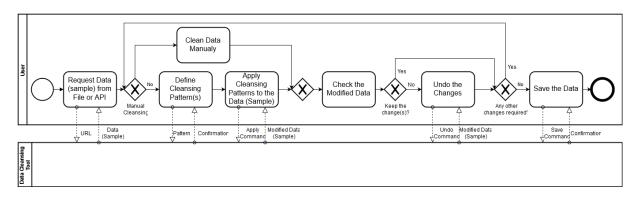


Figure 4-4: Data cleansing workflow

Regarding the online data cleansing, due to the flexibility offered by the Notebooks, there is no unique workflow to follow. However, the workflows that include the usage of notebook-based components (e.g. the ones in sections 4.3.2 and 4.6) provide some insights on the expected user interaction.

4.3. Data and service exploration (search)

4.3.1. From the main AEGIS platform

The figure below presents the main actions the users can take to explore the data on the AEGIS platform. The user can request all his/her projects and datasets, and navigate to any project or dataset. Once in a dataset, the user can browse, upload, or download files.

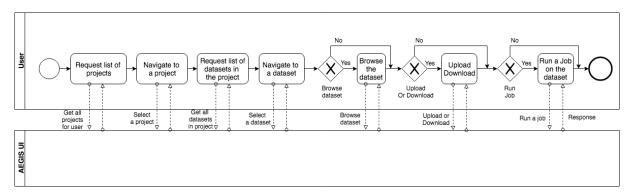


Figure 4-5: Data and service exploration workflow

4.3.2. Using query builder

The following two figures show the user's perspective when using query builder to find data and create an appropriate dataset (more accurately create a view on selected data) to be fed into analysis and/or visualisation or to be saved as a new dataset. The process of "creating an appropriate dataset" includes also the cleansing functionalities that have been integrated in the Query Builder (through the selection, configuration and application of the available filters). Although the user primarily interacts with the Query Builder component, two more components are utilised in the background. The AEGIS Metadata Service to retrieve the metadata for the

available datasets, which offer valuable information to the user and facilitate the data selection. The Brokerage Engine is involved in the dataset acquisition sub-process shown in the diagram, which is an instance of the artefact sharing process described in Section 4.5 and is external to the Query Builder utilisation process.

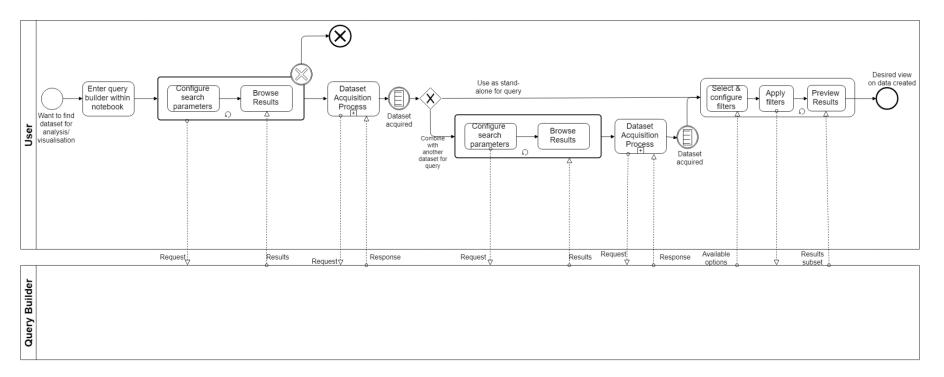


Figure 4-6: Dataset exploration through query builder workflow

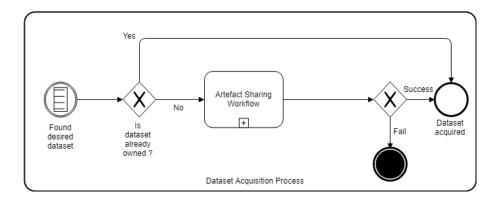


Figure 4-7: Data acquisition sub-process workflow

4.4. Data export from AEGIS

The figure below presents the different ways for the user to export their data from the AEGIS platform. The user can download his/her files or share the whole dataset with other users within AEGIS.

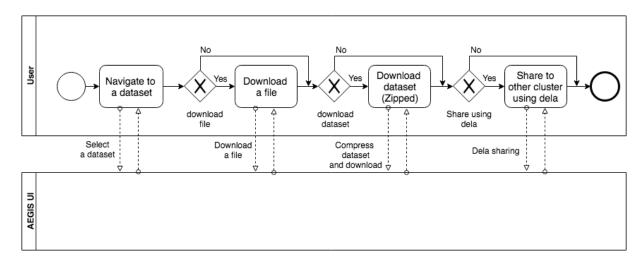


Figure 4-8: Data export workflow

4.5. Artefact sharing/reuse

The following figure shows the workflow for data sharing over the AEGIS platform. The operation to be performed, refers only to assets that are not public/free on the platform. It involves both the core AEGIS platform as well as the Brokerage Engine, which will check if artefact sharing/reuse can be performed. At first level, the AEGIS platform checks whether the operation at high level is permitted (e.g. if the data asset exists, if the user has the right credentials to view the data artefact, if the user is logged in, etc.). If access is possible and is permitted, then the Brokerage Engine is invoked. The Brokerage Engine checks the ledger to resolve the following situations:

- Identify whether the user requesting the data is capable of receiving it (e.g. if he/she has enough "credits" in case the data asset is not free), and
- Verify the availability of the data asset, comparing previous records in the ledger with the DPF elements that are attached to the data asset. This is essential only in case a data asset is provided with exclusivity rights (either permanently or within a specific timeframe), so that there is a check that no exclusivity rights have been transferred at the moment.

In case the above-mentioned check resolve that the data asset can be shared, then the transaction is inserted to the ledger, and the AEGIS platform is notified to release the data asset to the user.

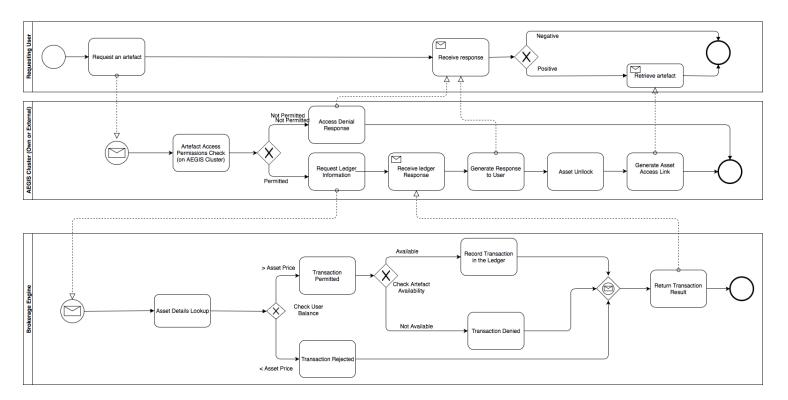


Figure 4-9: Artefact Sharing Workflow

4.6. Service creation

The following figure presents the workflow of the user's perspective when he/she will use the AEGIS services in order to either perform an analysis or generate a visualisation on an available dataset. In particular, the user interacts with the Algorithm Execution Container and the Visualiser components. The user is offered the option to request visualisation from the list of available visualisations in the Visualiser or a custom visualisation on an available dataset or on a dataset created as a result of the Query Builder execution. Additionally, the user is offered the option to perform a new analysis, multi-level analysis by chainable execution of algorithms, and request visualisation on the analysis results.

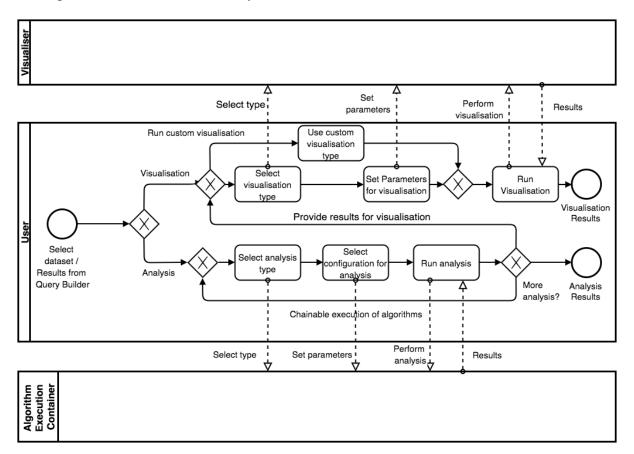


Figure 4-10: Service creation workflow

4.7. Service consumption

The following figure shows a workflow of the user's perspective when he/she will use the AEGIS platform to perform a general service consumption, which in this specific case includes the account creation/authentication, the search functionalities related to popular assets, latest assets and offers, the selection of a Dataset (together with its association with a Project) and the application to AEGIS main functionalities (Query Builder, Analytics, Visualiser).

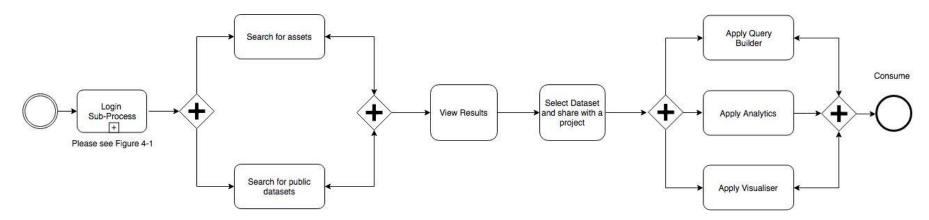


Figure 4-11: AEGIS Service consumption workflow

5. CONCLUSION

The current deliverable documents the efforts undertaken within the context of the tasks 3.1, 3.2, 3.3, 3.4 and 3.5 of WP3. The scope of this deliverable is to deliver the updated documentation that supplements the information documented in deliverable D3.3 with regard to the high-level and technical architecture of the platform, the components of the platform, as well as the workflows of the platform. As such, the current deliverable contains all the necessary updates and refinements on the architecture, the components of the architecture and workflow based on the results of the comprehensive analysis of the feedback received from the project's demonstrators on the AEGIS platform Release 2.00 that was delivered in M18.

More specifically, in the current deliverable the updates on the high-level architecture that was presented in deliverable D3.3 are described, along with the updated description of the role of each component within the platform and the functionalities that each component undertakes. The updated high-level architecture contains two major updates, the support of Tensorflow as part of the AEGIS Integrated Services and the introduction of the multilingualism support in the platform. Moreover, the updated technical architecture of the platform is presented, focusing on the functional decomposition of components, the relationship between them and the designed data flow.

In addition to the platform's architecture, the components of the platform received several enhancements and refinements towards the aim of providing new functionalities or enhancing the existing ones. For each component of the platform, the updated documentation with regard to offered functionalities and the list of design microservices that support these functionalities is documented. Moreover, the list of the technologies utilised for the realisation of the component's functionalities are presented. Additionally, the implemented technical interfaces or exposed outcomes that facilitate the integration of the various components towards the realisation of the workflows of the platform are documented.

Following the description of the high-level architecture and the comprehensive description of the components of the architecture, the current document presented the updated AEGIS platform's workflows in the form of BPMN diagrams, containing all the necessary adaptations and modifications as a consequence of the updates in the components of the platform.

The outcomes of this deliverable will drive the implementation activities of the project towards the implementation of the AEGIS Platform Release 3.00, as well as the further enhancement of the offered functionalities of the platform. The upcoming version of the architecture and the components' design will be the final version and will be documented in D3.5. In this final version, new enhancements and modifications will be incorporated as result of the analysis of the feedback that will be received from the project's demonstrators.

APPENDIX A: LITERATURE

[1] S. Niazi, S. Haridi and J. Dowling, "Size Matters: Improving the Performance of Small Files in HDFS," in *EuroSys*, 2017.